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Abstract	Reliable prediction of landslide triggering threshold and landslide run- out distance is essential for hazard risk assessment. The paper focuses on studying slides in sensitive clays, which represent a major geohazard in many countries including Norway, Sweden and eastern Canada. Large deformation finite element (FE) analyses were performed using the Coupled Eulerian-Lagrangian (CEL) method in Abaqus, which allows for capturing of the full progressive failure mechanism (initiation, propagation and breakoff) involved in a sensitive clay slide. The 1984 slide in Vestfossen, Norway, was chosen as problem case of progressive failure in sensitive clay to be back-calculated by using the CEL FE- model. It is found that the failure mechanism predicted by the FE- analysis agrees reasonably well with the historical failure mode observed at Vestfossen. A parametric study has been performed on the remoulded shear strength as well as the rate of strain softening of the sensitive clay in order to evaluate their effects on the landslide run-out distance.	

Chapter 31 Effect of Strain Softening Behaviours on Run-Out Distance of a Sensitive Clay Landslide

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Petter Fornes and Huynh D. V. Khoa

Abstract Reliable prediction of landslide triggering threshold and landslide runout distance is essential for hazard risk assessment. The paper focuses on studying 7 slides in sensitive clays, which represent a major geohazard in many countries 8 including Norway, Sweden and eastern Canada. Large deformation finite element 9 (FE) analyses were performed using the Coupled Eulerian-Lagrangian (CEL) 10 method in Abaqus, which allows for capturing of the full progressive failure 11 mechanism (initiation, propagation and breakoff) involved in a sensitive clay slide. 12 The 1984 slide in Vestfossen, Norway, was chosen as problem case of progressive 13 failure in sensitive clay to be back-calculated by using the CEL FE-model. It is 14 found that the failure mechanism predicted by the FE-analysis agrees reasonably 15 well with the historical failure mode observed at Vestfossen. A parametric study 16 has been performed on the remoulded shear strength as well as the rate of strain 17 softening of the sensitive clay in order to evaluate their effects on the landslide runout distance. 19

31.1 Introduction

Most natural sensitive clays exhibit strain-softening behaviour which is generally ²¹ a governing material property for progressive failure mechanisms. It is especially ²² important for Scandinavian sensitive clays, which under large strains turns into a ²³ liquid with almost zero remoulded shear strength (Thakur and Degago 2012). Due to ²⁴ the progressive type of failure, a small local bearing capacity type of instability may ²⁵ potentially become a failure threshold triggering large devastating slides. The failure ²⁶

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V. Thakur et al. (eds.), Landslides in Sensitive Clays, Advances in Natural

and Technological Hazards Research 46, DOI 10.1007/978-3-319-56487-6_31

can occur quite rapidly, essentially in undrained conditions (Locat et al. 2013). This ²⁷ type of hazard can cause significant damage to infrastructure, like the collapse of ²⁸ the Skjeggestad bridge in Norway in 2015, and loss of life. ²⁹

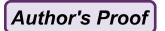
Numerous methods have been developed, however, not many are capable of ³⁰ predicting the complete process of progressive failure involving its initiation, ³¹ propagation and breakoff in sensitive clays. The main objective of the present study ³² is to perform large deformation analysis of undrained slope stability in sensitive ³³ clays by using the Coupled Eulerian-Lagrangian (CEL) method available in the ³⁴ commercial finite element (FE) program Abaqus (2014). Many researchers have ³⁵ demonstrated that the CEL method is suitable for solving slope stability problem ³⁶ involving large deformations (Wang et al. 2013; Dey et al. 2015; Trapper et al. ³⁷ 2015). In this paper the CEL method is applied to simulate both the landslide ³⁸ triggering threshold and the landslide run-out distance. ³⁹

The paper is organized in three main parts. In the first part, the CEL method ⁴⁰ is briefly introduced and the problem case of the 1984 slide in Vestfossen, which ⁴¹ was chosen for the FE back-analysis, is described. The second part of the paper is ⁴² devoted to provide some details about the CEL FE-model of the Vestfossen slide, the ⁴³ material inputs to the FE-model as well as a parametric study of the effect of strain- ⁴⁴ softening rate on the run-out distance of the failure. Finally, in the third part, the ⁴⁵ calculated FE-results are discussed and some concluding remarks are drawn from ⁴⁶ the present study. ⁴⁷

31.2 Method: CEL

To calculate the full progressive failure mechanism involved in a quick clay slide, a ⁴⁹ numerical method that can handle large deformations is essential. In the standard ⁵⁰ Lagrangian FE method, excessively distorted elements during large deformation ⁵¹ analysis can introduce error into the analysis results, and, in the worst case, they ⁵² can cause the analysis to terminate prematurely. ⁵³

The Coupled Eulerian-Lagrangian method is available in the Abaqus/Explicit 54 program (Abaqus 2014), in which the element mesh is fixed in space and does 55 not change with time while the material points (Gauss points) can flow freely 56 across the mesh. In a CEL FE-model, the Lagrangian body and Eulerian body are 57 discretized differently in separate (or with some overlap) regions of the problem 58 domain. The Eulerian material can interact with Lagrangian elements through 59 Eulerian-Lagrangian contact formulated based on an enhanced immersed boundary 60 method. In this method the Lagrangian structure occupies void regions inside 61 the Eulerian mesh. The contact algorithm automatically computes and tracks the 62 interface between the Lagrangian structure and the Eulerian materials. Hence, the 63 CEL method is suited for numerical problems involving large deformations due 64 to the fact that there is no distorted element as illustrated in Fig. 31.1. The CEL 65 method has been successfully used to model backward progressive sensitive clay 66 slides, capturing the characteristic horsts and grabens modes of deformation (Dey 67 et al. 2013, 2015). 68



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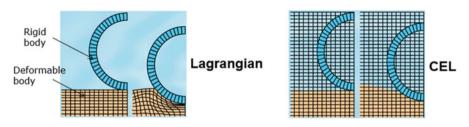


Fig. 31.1 Illustration of deformed mesh obtained from Lagrangian analysis and CEL analysis

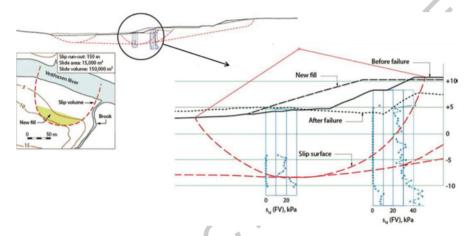


Fig. 31.2 Extent of the 1984 slide at Vestfossen (Modified from Kalsnes et al. 2013)

31.3 Problem Case: Vestfossen

The 1984 slide in Vestfossen, Norway, was chosen as problem case to investigate ⁷⁰ the effect of post peak stress strain behaviour on run-out distance of a quick clay ⁷¹ landslide. The slide comprised an area of approximately 100×150 m, with roughly ⁷² 10 m depth (Karlsrud 1984). The failure mechanism propagated horizontally quite ⁷³ far over a flat area, crossing the Vestfossen river, see Fig. 31.2. ⁷⁴

The Vestfossen slide was most likely triggered by the construction of a new fill ⁷⁵ in a slope next to the Vestfossen river (NGI 1984), when a new soccer field was ⁷⁶ to be built. The very sensitive clay underneath the fill was thus mobilized past ⁷⁷ its peak undrained shear strength, which due to strain softening reduced the soil ⁷⁸ strength. This caused a downward progressive failure mechanism, where the local ⁷⁹ failure propagated almost horizontally over a larger distance. Soil investigations ⁸⁰ were performed after the slide, and the shearing plane was localized in the layer ⁸¹ where the remoulded shear strength was close to zero in vane shear tests as shown ⁸² in the cross section in Fig. 31.2. ⁸³

The initiation of the slide was back calculated in a previous study (NGI 2012), 84 using the (small strain) FE software Plaxis 2D (2015) with the user defined material 85

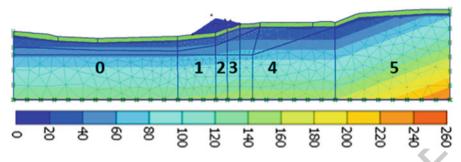


Fig. 31.3 Plaxis 2D model, undrained shear strength su^A [kPa] contours (Adapted from NGI 2012)

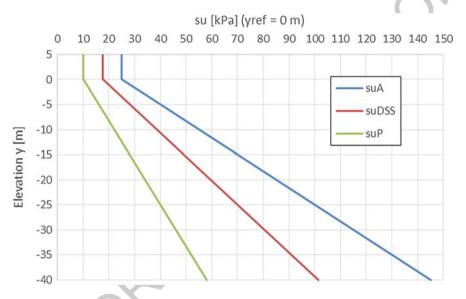


Fig. 31.4 Example of undrained shear strength profile, showing peak s_u values versus elevation in Section 0 ($y_{ref} = 0$ m). For isotropic strength, $s_u^{ave} = s_u^{DSS}$ was used

model NGI-ADPSoft, which could account for strain softening and anisotropy 86 (Grimstad et al. 2010; Grimstad and Jostad 2010; Jostad and Grimstad 2011). The 87 peak undrained shear strength profile was based on the available site data, and 88 calibrated through back-calculation. 89

In a vertical cross section, the peak undrained shear strength increases linearly ⁹⁰ with depth from reference elevation y_{ref} . This parameter varies linearly in the ⁹¹ horizontal direction within the sections 0–5 in Fig. 31.3, providing compatible ⁹² strength contours for the different slope angles. The undrained shear strength profile ⁹³ is illustrated in Fig. 31.4, for Section 0 where the reference elevation $y_{ref} = 0$ m. ⁹⁴

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31.4 Abaqus CEL Modelling

In the current study, the Vestfossen cross section in Fig. 31.3 was modelled with ⁹⁶ Abaqus CEL as a 3D profile with 1 m unit thickness in the plane direction. The soil ⁹⁷ profile composed of a continuous layer of sensitive clay, with a dry crust material ⁹⁸ in the top 3 m and a fill that was applied to initiate failure. To apply the soil selfweight as the load gravity loading was used with acceleration 10 m/s² in negative ¹⁰⁰ y-direction (vertically) for simplicity. The respective unit densities then provides ¹⁰¹ the volume mass. Each CEL FE-analysis is run as an explicit calculation, and a time ¹⁰² interval for each calculation phase is given so that the velocities and kinetic energy ¹⁰³ become very small at the end of the phases. In the first phase the gravity was applied ¹⁰⁴ to the initial soil profile and the void, but not the fill, to provide the initial stresses. In ¹⁰⁵ the second phase, the gravity was also applied to the fill to initiate slope failure. In ¹⁰⁶ order to avoid unwanted numerical dynamic issues, the gravity loads were applied ¹⁰⁷ gradually over 10 seconds with the 'smooth step' function. ¹⁰⁸

The CEL mesh size had (roughly) element size of 1 m, and thus only one element 109 in the plane direction. The total number of elements was 22,755. A mesh sensitivity 110 study was performed to see the effect of mesh fineness, where the length of the ele-111 ments was reduced to 0.5 m. When using strain softening material behavior without 112 any form of regularization, localization of shear bands and mesh size dependent 113 results are expected. The user defined model in the small strain FE study (NGI 2012) used a non-local strain technique (Brinkgreve 1994), but the Mohr Coulomb 115 material model in Abaqus does not include any regularization technique. However, 116 the deformations with the finer mesh were comparable to the deformations with the original mesh. Hence, the original mesh was considered fine enough for this study. 118

31.4.1 Material Properties

31.4.1.1 Dry Crust

The 3 m dry crust in the top of the profile was described by the Mohr-Coulomb 121 constitutive model with friction angle $\phi = 30^{\circ}$, cohesion c = 5 kPa, Young's 122 modulus E = 10,000 kPa, Poisson's ratio $\nu = 0.495$ and density $\rho = 1,800 \text{ kg/m}^3$. 123

31.4.1.2 Fill Material

The fill behaviour was described by the Mohr-Coulomb material model with friction 125 angle $\phi = 30^{\circ}$, cohesion c = 1 kPa, Young's modulus E = 10,000 kPa and Poisson's 126 ratio $\nu = 0.495$. The weight of the fill material was applied in the CEL calculations 127 to initiate a local bearing capacity failure, and density $\rho = 1,800-2,000$ kg/m³ was 128 used. The necessary density depends on the degree of strain softening, and was 129 initially determined from the Plaxis 2D FE-analysis. 130

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31.4.1.3 Sensitive Clay Layers

The sensitive clays behaved undrained and were described by the Mohr-Coulomb 132 constitutive model. For the elastic properties Young's modulus E = 30,000 kPa at 133 elevation y_{ref} and increasing with depth 3,600 kPa/m, Poisson's ratio v = 0.495 and 134 density $\rho = 1,800$ kg/m³ were considered. 135

The standard Mohr Coulomb model in Abaqus was used to specify the variation 136 of the cohesion (i.e. undrained shear strength) of the clays as a function of the plastic 137 shear strain. For better comparison with possible future work, curve points of the 138 cohesion and the corresponding plastic shear strain were specified so that the stressstrain softening curve has the same shape as in the NGI-ADPSoft model. The stress 140 strain curves in the NGI-ADPSoft model are determined by the two state variables 141 κ_1 and κ_2 , respectively pre and post peak hardening functions. The functions are 142 chosen so that the slope (first derivative) is zero at peak and residual strength 143

$$\begin{split} \kappa_1 &= 2 \cdot \left(\gamma^p / \gamma_p^p \right)^{0.5} / \left(1 + \gamma^p / \gamma_p^p \right), \kappa_2 \\ &= \left(\left[\gamma^p - \gamma_p^p \right] / \left[\gamma_r^p - \gamma_p^p \right] \right)^{C1} \cdot \left(2 - \left[\gamma^p - \gamma_p^p \right] \right) / \left[\gamma_r^p - \gamma_p^p \right] \right)^{C2} \end{split}$$

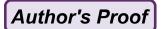
where γ^p is the plastic shear strain, γ_p^p is the peak strength plastic shear strain, γ_r^p ¹⁴⁴ is the residual strength plastic shear strain, and $1.0 \le C2 \le C1 \le 2.0$ are constant to ¹⁴⁵ control the shape of the post peak softening curve. For this study the parameter set ¹⁴⁶ C1 = C2 = 1.5 was chosen. ¹⁴⁷

In order to define increasing undrained shear strength with depth, the cohesion 148 was given as a function of temperature. The temperature parameter was only used 149 as a variable to provide different strength gradients corresponding with Fig. 31.3. 150 Anisotropic strength and stiffness properties can be specified with the NGI-ADPSoft 151 model, but isotropic is required with the Mohr Coulomb model in Abaqus. Thus, 152 isotropic properties were used for this study, with peak undrained shear strength 153 $s_{u,p} = s_u^{ave} = s_u^{DSS} = 0.7 \cdot s_u^{C}$, according to Fig. 31.4. 154

Normalized residual undrained shear strength $s_{u,r}/s_{u,p} = 0.1$ was considered as 155 the base case. The plastic shear strain at peak strength $\gamma_p{}^p = 3\%$ and the plastic shear 156 strain at residual strength $\gamma_r{}^p = 30\%$ were used as the base case. The corresponding 157 shear stress-strain curve is indicated with a black line in Fig. 31.5. To prevent the 158 volume upslope from the fill from sliding out in the CEL calculation, the normalized 159 residual strength $s_{u,r}/s_{u,p}$ in Section 4 and 5 of Fig. 31.3 was increased to 0.3 and 0.5, 160 respectively.

31.4.2 Parametric Study

A parametric study was performed where the potential effect of strain-softening rate 163 on the run-out distance was investigated. Only the properties of the sensitive clay 164 layers downslope (in Section 0–3, Fig. 31.3) were varied. The normalized residual 165



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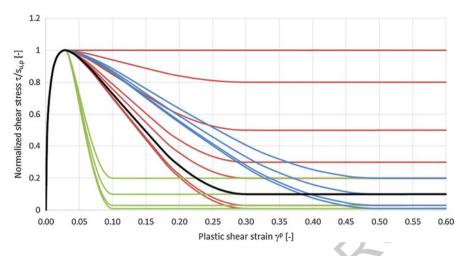


Fig. 31.5 Different normalized stress-strain curves considered in parametric study. *Black solid curve* corresponding to base case with $s_{u,r}/s_{u,p} = 0.1$, $\gamma_p^p = 3\%$, $\gamma_r^p = 30\%$

strength $s_{u,r}/s_{u,p}$ of these sensitive clay layers was varied between 0.01 and 1.0. The parameter controlling the rate of strain softening, the shear strain at residual strength γ_r^p , was varied from 10% to 50%. Note that a lower value of γ_r^p results in a more brittle behavior. The stress-strain curves considered are illustrated in Fig. 31.5.

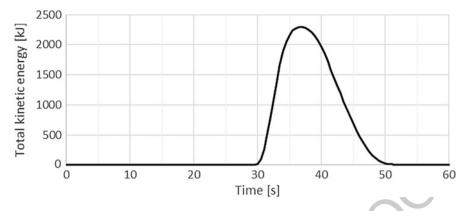
31.5 Results

The calculated results from the Abaqus CEL simulation of the base case strainsoftening parameters are discussed here. The weight of the fill was applied from 172 time T = 20 s to T = 30 s and the fill started to move after the full load had been 173 applied. The only forces acting on the soil were the gravity and inertial loads. The 174 kinetic energy of the whole system is a useful indicator to check if the soil failure 175 mechanism has been stabilized. It can be seen in Fig. 31.6 that the kinetic energy 176 increases as the local bearing capacity failure is initiated, and the peak kinetic energy 177 appears at roughly T = 35 s. The motion slows down and the kinetic energy in the 178 whole system is reduced to zero at roughly time T = 50 s. 179

The calculated average plastic shear strains and the velocity during the propagation of soil failures are illustrated in Fig. 31.7. The shading contours of plots with 181 5 s intervals are shown from time T = 30 s when the full fill weight has been applied 182 to T = 50 s when the soil failure surface has stopped propagating. 183

A local failure is first initiated by the fill, and shear strains are developed in 184 front of the slide as it is moving. The fill itself is not moving very far, but the 185 downward progressive mechanism propagating is pushing material in front of the 186 fill and is causing heave in the downstream area. After the main movement of the 187

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Fig. 31.6 Kinetic energy versus time. Peak when the slide is moving, goes down to zero

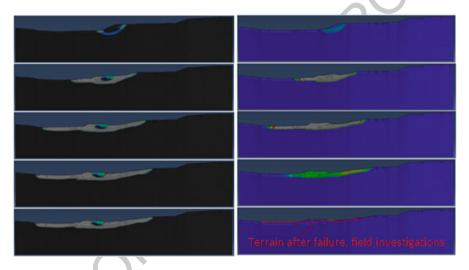


Fig. 31.7 Contours of (*left*) average plastic shear strain PEEQAVG (3–30%, i.e. peak to residual strength) and (*right*) velocity V (0–1 m/s) for time T = 30-50 s for the base case. The red line indicates the profile after failure that was recorded in the field investigation (Fig. 31.2)

fill, shear strains develop backwards, causing the slope to become gradually less 188 inclined. It can be seen from the velocity contours that first the fill moves, followed 189 by movement upslope after the fill volume has stopped. 190

31.5.1 Comparison with Field Data

The profile recorded in the field investigation after the Vestfossen landslide in 192 1984 is shown Fig. 31.2. The fill volume appears to have ended up completely flat 193

horizontally, and one retrogressive failure surface appears behind the local fill failure 194 surface. There was registered heave as far as 90 m from the toe of the fill, on the 195 other side of the river. 196

The large deformation shape of the Abaqus CEL model calculation in Fig. 31.7 ¹⁹⁷ is comparable to the historical failure mode. In the calculations the fill volume ¹⁹⁸ is moving a distance from the toe and its contours remains in the terrain when ¹⁹⁹ the deformations stops. The terrain behind the fill also deforms, but not directly ²⁰⁰ as a retrogressive failure surface. The authors believe that by refining the layer ²⁰¹ modelling to better match the in-situ conditions, a realistic upslope deformation ²⁰² pattern could be obtained, as demonstrated by Dey et al. (2015). The base case ²⁰³ calculations include heave roughly 90 m from the toe of the fill, as observed. ²⁰⁴

The calculated deformation patterns seems to mainly take place in the top of the 205 clay layers, right below the dry crust. In the historical slide, the propagating failure 206 surface was most likely deeper indicated by in-situ vane shear tests. This could 207 possibly be replicated by introducing anisotropy and constant residual strength with 208 depth. The residual strength increased with depth since constant $s_{u,r}/s_{u,p}$ ratio and 209 peak strength increasing with depth was used in the calculations. By introducing 210 sensitive clay layers of different strengths instead of a homogenous layer, the CEL 211 FE-analysis can provide better prediction of the identified failure surface. 212

31.5.2 Parametric Study

In the parametric study the effect of the post peak strength reduction curve 214 parameters on the run-out distance was investigated. Due to the distinct mode of 215 deformation, there is not a unique way to define the run-out distance. Two measures 216 describing the run-out distance are reported; one is the extent of downstream heave 217 due to the propagation of shear strains, distance measured from the toe of the applied 218 fill, and the second is the crest movement of the fill.

The results from the parametric study are plotted in Fig. 31.8 showing run-out 220 distance for different values of normalized residual undrained shear strength $s_{u,r}/s_{u,p}$ 221 and the residual strength plastic shear strain γ_r^p . Due to model boundaries, 120 m 222 was the maximum run-out distance. 223

31.6 Conclusions

The 1984 Vestfossen landslide has been back-analyzed using the CEL FE-model. ²²⁵ It is found that the calculated failure pattern is in reasonable agreement with ²²⁶ the historical failure mode observed at Vestfossen. A parametric study has been ²²⁷ performed on the remoulded shear strength and the rate of strain softening of the ²²⁸ sensitive clay in order to evaluate their effects on the landslide run-out distance. It ²²⁹ appears that low residual strength values have a bigger effect than the degree of ²³⁰ brittleness. ²³¹

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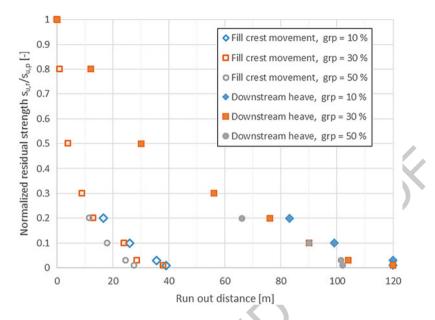


Fig. 31.8 Run-out distance (downstream heave and fill crest movement) versus normalized residual strength, with curves for different plastic shear strain at residual strength

Combining the CEL FE-model and an advanced constitutive model, which can 232 account for the strain-softening behaviour and the anisotropic strengths in soils, 233 provides a robust and suitable numerical tool for not only predicting landslide 234 triggering threshold but also estimating landslide run-out distance in sensitive clays. 235 However, applying different loads was not as easy as in a Lagrangian method due to 236 the material deforming within the mesh, and the results can be mesh dependent due 237 to no regularization with strain-softening. 238

Further work planned includes implementing the anisotropic NGI-ADPSoft 239 model into the Abaqus/Explicit. This will enable the use of more realistic soil 240 properties and better prediction of trigger load and run-out distance. 241

Acknowledgments The research has been supported by the Norwegian Geotechnical Institute. 242 The authors also express their sincere thanks to Dr. Hans Petter Jostad for his assistance. Many 243 thanks are given to Dr. Ha H. Bui for good feedback. 244

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