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## Intact, disturbed and reconstituted undrained shear behavior of low plasticity natural silt --Manuscript Draft--

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<b>Abstract:</b>	<p>This paper presents a laboratory investigation of undrained triaxial shear behavior of a natural low plasticity silt from Halden, Norway in the intact, disturbed and reconstituted states. Sherbrooke block sample and reconstituted specimens were subjected to simulated tube sampling in a triaxial stress path cell system prior to reconsolidation and undrained shear to assess the effects of disturbance on undrained shear behavior, undrained shear strength and effective stress friction angle. Shear stress and pore pressure development were evaluated relative to that measured for the undisturbed reference state taken as that measured on specimens from the intact block sample. Furthermore, specimens trimmed from fixed piston tube samples collected from the field site were also tested for comparative purposes. Collectively, the results demonstrate that neither the volumetric method of evaluating sample quality for clays nor shear wave velocity track sample disturbance well for this low plasticity silt. Relative to the reference intact block sample tests simulated tube sampling results in an increasingly pronounced dilative type behavior during post-disturbance undrained shear and a general increase in undrained shear strength. Specimens from the block sample that were subjected to simulated tube sample disturbance showed similar stress-strain behavior to that from conventional anisotropically consolidated triaxial compression tests conducted on specimens from the tube samples, suggesting that significant alteration of the intact soil state occurred during tube sampling. Practical suggestions for selection of undrained shear strength for intact low plasticity silts that exhibit dilative behavior such as the Halden silt are proposed.</p>	
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<b>Question</b>	<b>Response</b>	
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1 **Intact, disturbed and reconstituted undrained shear behavior of low plasticity natural silt**

2

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4

5 **Keywords:** silt, sample disturbance, block sampling, triaxial, oedometer

6 **Abstract**

7 This paper presents a laboratory investigation of undrained triaxial shear behavior of a natural low  
8 plasticity silt from Halden, Norway in the intact, disturbed and reconstituted states. Sherbrooke  
9 block sample and reconstituted specimens were subjected to simulated tube sampling in a triaxial  
10 stress path cell system prior to reconsolidation and undrained shear to assess the effects of  
11 disturbance on undrained shear behavior, undrained shear strength and effective stress friction  
12 angle. Shear stress and pore pressure development were evaluated relative to that measured for the  
13 undisturbed reference state taken as that measured on specimens from the intact block sample.  
14 Furthermore, specimens trimmed from fixed piston tube samples collected from the field site were  
15 also tested for comparative purposes. Collectively, the results demonstrate that neither the  
16 volumetric method of evaluating sample quality for clays nor shear wave velocity track sample  
17 disturbance well for this low plasticity silt. Relative to the reference intact block sample tests  
18 simulated tube sampling results in an increasingly pronounced dilative type behavior during post-  
19 disturbance undrained shear and a general increase in undrained shear strength. Specimens from  
20 the block sample that were subjected to simulated tube sample disturbance showed similar stress-  
21 strain behavior to that from conventional anisotropically consolidated triaxial compression tests  
22 conducted on specimens from the tube samples, suggesting that significant alteration of the intact  
23 soil state occurred during tube sampling. Practical suggestions for selection of undrained shear

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24 strength for intact low plasticity silts that exhibit dilative behavior such as the Halden silt are  
25 proposed.

## 26 **Introduction**

27 While effects of sampling and sample disturbance on undrained shear behavior of clays have been  
28 subject to extensive research for decades (La Rochelle and Lefebvre 1971; Lacasse et al. 1985;  
29 Hight et al. 1992; Tanaka et al. 1996; Lunne et al. 1997; Santagata and Germaine 2002; Lunne et  
30 al. 2006), few studies have investigated how tube sampling of low plasticity silts affects selection  
31 of engineering properties compared to those interpreted from companion high quality block  
32 samples. Indications are that tube sampling can densify loose silts and sands (e.g. Hight and  
33 Leroueil 2003) due to drained or partially drained conditions during sampling. As a result advanced  
34 laboratory testing (e.g. direct simple shear or triaxial compression) of these samples can lead to  
35 opposite effects of those often observed in naturally occurring structured clays, i.e., higher strength  
36 and stiffness properties than in situ values (Carroll and Long 2017; Lukas et al. 2019). The dilative  
37 nature of many silts and other intermediate soils (silty sand, sandy silt, clayey silt, silty clay, etc.)  
38 also results in strain hardening during undrained shear, and oftentimes, no unique undrained shear  
39 strength (peak) is observed (e.g. Fleming and Duncan 1990; Høeg et al. 2000; Sandven 2003;  
40 Brandon et al. 2006; Long 2007; Carroll and Long 2017). Consequently, significant uncertainties  
41 are associated with predicting the in situ undrained shear strength of silts using laboratory tests on  
42 apparently intact, so-called undisturbed samples. Furthermore, only one quantitative framework  
43 for assessment of sample quality has been proposed for low plasticity soils (DeJong et al. 2018).  
44 This method was developed for 1-D consolidation tests and is based on synthetic soil mixtures that  
45 do not exhibit the same sensitivity and structure as many naturally occurring soils. The lack of  
46 such practical recommendations has led to use of the clay-based volumetric sample quality

47 assessment indices, e.g., normalized void ratio change,  $\Delta e/e_0$ , (Lunne et al. 1997) the  
48 recompression volumetric strain,  $\varepsilon_{vol}$  or Sample Quality Designation (SQD, Terzaghi et al. (1996)).  
49 While all soils are subject to strains during tube sampling, in clays the shearing can be considered  
50 undrained and thus under constant volume conditions (although there can be local redistribution  
51 of water content after tube sampling). Silts, however, may be undrained, partially drained, or  
52 drained during tube sampling depending on sampling rate, soil composition, type of sampler etc.,  
53 and any potential volume changes occurring during and after sampling are unknown. The use of  
54 clay-based frameworks for silts has recently been shown to be misleading (Long et al. 2010;  
55 Carroll and Long 2017; DeJong et al. 2018; Lukas et al. 2019) even though its use has been  
56 presented in the literature.

57         This paper presents an assessment of the undrained triaxial shear behavior of a natural silt  
58 in the intact, reconstituted and disturbed states, where the Sherbrooke block sample is considered  
59 the best representation of intact soil. It investigates differences observed between tests on material  
60 from the block sample and specimens reconstituted using moist tamping and slurry deposition and  
61 compares the behavior of block sample material and specimens subjected to experimental sample  
62 disturbance simulation (Baligh et al. 1987). Furthermore, the undrained triaxial stress-strain  
63 behavior and interpreted undrained shear strength of the block sample and experimentally  
64 disturbed specimens are compared with results on specimens from the NGI 54 mm composite fixed  
65 piston sampler (Andresen and Kolstad 1979) and Japanese Gel-Push Static fixed piston sampler  
66 (Tani and Kaneko 2006; Mori and Sakai 2016).

67 **Current practice in sampling of silts and assessment of undrained shear strength**

68 *Tube and block sampling*

69 Sample disturbance results from stress relief during drilling and straining during tube sampling.  
70 Other sources of post sampling disturbance include sample extrusion, transportation, sample  
71 storage and specimen trimming (Ladd and DeGroot 2003). The magnitude and effect of these  
72 factors are functions of soil type, drilling and sampling equipment, operator experience,  
73 transportation method, and storage time. For example, Baligh et al. (1987) and Clayton et al. (1998)  
74 investigated the effect of tube dimensions and cutting shoe geometry on sample quality and found  
75 that increasing area ratio (AR = ratio of the cross-sectional area of the sampler that is solid to that  
76 of the inside of the cutting shoe) resulted in a significant increase in the compressive centerline  
77 strains ahead of the sampler. Best practice recommendations from such research and that of others  
78 (e.g. Hight and Leroueil 2003; Ladd and DeGroot 2003) are that: 1) the area ratio should not exceed  
79 10%, 2) the inside diameter should be greater than around 72 mm, 3) the cutting shoe should be  
80 sharp (e.g., around 5° to 10°), 4) the sample tube should have zero inside clearance, and 5) a fixed  
81 piston should be used.

82 Silts and intermediate low plasticity soils have traditionally been sampled using: (i) open  
83 drive U100 or split spoon samplers (Bray et al. 2004; Long 2007), both of which have a poor  
84 geometry with a large area ratio and cutting angle; (ii) thin-walled samplers with a better geometry,  
85 including Shelby tubes of various diameters (Brandon et al. 2006; Nocilla et al. 2006) and; (iii)  
86 different fixed piston samplers with thin-walled tubes (Høeg et al. 2000; Bray and Sancio 2006;  
87 Long et al. 2010; Solhjell et al. 2017). Although large diameter block type samplers, e.g.  
88 Sherbrooke (Lefebvre and Poulin 1979) and Laval samplers (LaRochelle et al. 1981) typically  
89 provide high quality samples of clays, there is limited experience with these sampling techniques  
90 for low plasticity silts. Examples of collection of hand-carved and downhole Sherbrooke block

91 samples in this material include Bradshaw and Baxter (2007), Carroll and Long (2017) and Blaker  
92 et al. (2019).

93 Because of the challenge in collecting good quality samples of silts, some laboratories  
94 prepare advanced test specimens (e.g., triaxial) using reconstitution methods, including: moist and  
95 dry tamping (Ladd 1978), and slurry deposition (Wang et al. 2011; Lukas et al. 2019). Under  
96 controlled laboratory environments the effects of different variables can be studied, but due to  
97 particle reorientation, particle segregation, impact energy, and loss of structure and/or cementation  
98 effects, reconstituted soil may not necessarily be an attractive alternative for silts, nor be  
99 representative of the in-situ soil state and structure.

#### 100 *Laboratory simulation of tube sampling - Ideal Sampling Approach (ISA)*

101 Tube sample disturbance can be simulated in the laboratory to study the effects on undrained shear  
102 behavior and engineering parameters. Baligh et al. (1987) and Clayton et al. (1998) used the Baligh  
103 (1985) strain path method to investigate the effects of undrained tube sampling in saturated clays.  
104 The result of this work demonstrated that a tube sampler takes a centerline element of soil initially  
105 beneath the sampler into a strain cycle including both compression and extension strains during  
106 sampler penetration. This can be simulated in the laboratory using the Ideal Sampling Approach  
107 (ISA; illustrated for a silt in [Figure 1](#)) in which a specimen is consolidated to the estimated in situ  
108 stress condition,  $\sigma'_{v0}$  and  $\sigma'_{h0}$  (Step 1) of interest. In Step 2 tube sampling is simulated by shearing  
109 the specimen first in undrained compression to a predefined strain level,  $+\varepsilon_{zz,max}$  (shown for +1%  
110 vertical strain in [Figure 1](#); which is considered a representative value for a standard 76 mm outside  
111 diameter US Shelby tube), reversing the direction of loading and bringing the specimen into  
112 extension, i.e. to a strain level equal to  $-\varepsilon_{zz,max}$ , before returning to 0% vertical strain and removing  
113 the shear stress  $q = 0.5(\sigma_v - \sigma_h)$ , under undrained conditions. In Step 3 the "tube-sampled"

114 specimen is reconsolidated back to  $\sigma'_{v0}$  and  $\sigma'_{h0}$  followed by the final Step 4 of undrained  
115 compression shearing the soil to failure. In the results section of this paper the final undrained  
116 shear results are compared to behavior of a companion test specimen that has not been subjected  
117 to the ISA strain cycle.

118 Clayton et al. (1992); Santagata and Germaine (2002); Santagata et al. (2006) found that  
119 simulated tube sampling of clays results in a reduction in the mean effective stress  $p' = 0.5(\sigma'_v +$   
120  $\sigma'_h)$ , during ISA cycling, an increase in  $\varepsilon_{vol}$  or  $\Delta e/e_0$  during post-ISA reconsolidation, and decreases  
121 in the small strain stiffness, undrained shear strength  $s_u = q_f$  (where  $q_f$  is the shear stress at failure),  
122 and post-peak strain softening. ISA testing on silts have seen limited research efforts until recently  
123 but these soils have shown contrasting behavioral effects of disturbance relative to that of clays.  
124 For the Irish, intermediate plasticity Letterkenny silt Carroll and Long (2017) demonstrated that  
125 increasing the level of ISA strain damage resulted in an increase  $s_u$  and stiffness by almost 20%.  
126 Greater damage also resulted in an increase in the rate of negative shear induced pore pressure  
127 generation of the specimens. Lukas et al. (2019) tested various synthetic intermediate soils and  
128 found a decrease in the initial pre-peak stiffness, a decrease in strain-softening response and  
129 increases in  $s_u$  and vertical strain at failure  $\varepsilon_{v,f}$  with increasing ISA strain. Also, the magnitude of  
130 these changes increased with decreasing plasticity index. These results are opposite of that found  
131 for the effect of tube sample disturbance on the behavior of low to moderately overconsolidated  
132 clays.

### 133 ***Selection of undrained shear strength for design***

134 Due to sample disturbance effects, limitations in reconstitution methods, and the strain hardening  
135 nature of many silts, there are significant uncertainties associated with estimating the in-situ  $s_u$  of  
136 silts for design purposes from laboratory tests (Wang et al. 1982; Fleming and Duncan 1990; Høeg

137 et al. 2000; Carroll and Long 2017). Brandon et al. (2006) reviewed six criteria for interpretation  
138 of  $s_u$  of two natural silts from the Mississippi River Valley. For specimens sheared in triaxial  
139 compression, the criteria include: 1) maximum deviator stress,  $(\sigma_1 - \sigma_3)_{max}$ ; 2) an assigned limiting  
140 vertical strain,  $\varepsilon_{v,f}$ ; 3) state of zero excess shear induced pore pressure at failure  $\Delta u_f = 0$ , which is  
141 equivalent to Skempton's A parameter at failure equal to zero,  $A_f = 0$  for  $B = 1$ ; 4) point at which  
142 the effective stress path first reaches the failure envelope, defined by the  $K_f$  line; 5) maximum  
143 obliquity,  $(\sigma'_1/\sigma'_3)_{max}$ ; and 6) maximum shear induced pore pressure,  $u_{max}$ . Note that with zero  
144 cohesion intercept,  $c' = 0$ , criteria 4 and 5 provide the same undrained shear strength. Long et al.  
145 (2010) and Long (2007) found that the use of criterion (1) for anisotropically consolidated  
146 undrained triaxial compression (CAUC) tests on the Norwegian Os, and the Irish Sligo and  
147 Dunkettle silts gave unusually high  $s_u$  values and that other criteria (e.g., criteria 3 and 6) could  
148 more effectively reduce the scatter. Long et al. (2010) and Long (2007) concluded that due to the  
149 dilative nature of silty soils interpretation of  $s_u$  from CAUC tests using criterion (1), which is the  
150 traditional approach for clays, gives unrealistically high  $s_u$  values and advocated use of criterion  
151 (2) with  $\varepsilon_{v,f} = 2\%$ . Whereas Börgesson (1981); Wang et al. (1982); Fleming and Duncan (1990)  
152 used  $\varepsilon_{v,f}$  ranging from 5% to 15%. Criterion (6) typically provides the lowest value of  $s_u$  as  $u_{max}$   
153 often occurs at small strain and thus before full mobilization of the in situ  $s_u$  has taken place. While  
154 Stark et al. (1994) used both criteria (1) and (6), Brandon et al. (2006) recommended criterion (3).  
155 Solhjell et al. (2017) evaluated  $s_u$  for a North Sea offshore silty, sandy, clayey soil unit for which  
156 the project design basis required both lower and upper bound estimates of  $s_u$ . The Authors selected  
157  $s_u$  at the onset of dilative behavior (i.e.,  $\Delta u - \Delta\sigma_{oct} = 0$ , where  $\Delta\sigma_{oct} = 2\Delta q/3$  and  $q = (\sigma_v - \sigma_h)/2$ )  
158 in CAUC and direct simple shear (DSS) tests as the lower bound while the upper bound was  
159 estimated as the lesser value of the conventional peak shear stress (criterion 1) and  $s_u$  at  $\varepsilon_{v,f} = 10\%$

160 for CAUC tests or 15% shear strain in DSS tests (criterion 2). Depending on the design conditions,  
161 it is evident that  $s_u$  for silts exhibiting dilative behavior can be significantly underestimated or  
162 overestimated. In sum, limited research is available on how sample disturbance influences the  
163 various  $s_u$  selection criterion and furthermore how laboratory  $s_u$  values for silts defined by the  
164 above-mentioned criteria relates to the in-situ  $s_u$  for specific design applications.

## 165 **Methods of Investigation**

### 166 *Soil sampling*

167 Samples were collected at the Halden, Norway research site using the Sherbrooke block sampler  
168 (Lefebvre and Poulin 1979), the NGI 54 mm inner diameter (ID) composite piston (NGI 54)  
169 sampler (Andresen and Kolstad 1979) and the 71 mm ID Japanese Gel-Push Static (GP-S) sampler  
170 (Tani and Kaneko 2006). The latter injects a water-soluble polymeric lubricant (gel) from the  
171 sampler shoe to lubricate and reduce friction between the cut sample and sampler wall. The NGI  
172 54 and GP-S samplers have outside diameter to thickness ratios ( $D_w/t$ ) of 12 and 8, respectively,  
173 giving AR of about 44% and 78%. The former sampler has about 0.6% inside clearance and the  
174 latter about 1.5%. The Sherbrooke block samples are considered in this paper the best  
175 representation of intact soil and used as the reference laboratory behavior for the Halden silt.

### 176 *Specimen preparation*

177 Both consolidated triaxial and incremental load oedometer test specimens were prepared in the  
178 laboratory. Three specimen preparation methods were used: trimming of block and tube samples  
179 and two variations of soil reconstitution. Reconstituted specimens were prepared from a batch of  
180 air-dried untested material from the same depth as the collected samples and had essentially  
181 identical grain size distributions as the block sample. The individual reconstituted specimens were  
182 prepared either by moist tamping (MT) or slurry deposition (SD). In the MT method the amount  
183 of dry silt that provided the desired density for the specimens was mixed with about 3% (by mass)

184 de-aired water. The specimens were prepared on the triaxial pedestal in six separate equal-volume  
185 lifts using a split mold. The lower layers were under compacted (Ladd 1978) such that the energy  
186 applied to the successive layers would produce a specimen of approximately uniform density  
187 throughout when the preparation was finished. The top cap and membrane were sealed using O-  
188 rings and an internal under pressure of 20 - 30 kPa applied. The SD method was similar to the  
189 approach described by Wang et al. (2011) and Lukas et al. (2019) for which 200 - 400 g of air  
190 dried silt was thoroughly mixed with de-aired water at 1.5 - 2.0 times the liquid limit, and left  
191 overnight to hydrate. Then the slurry was mixed further and poured into an oedometer ring or, in  
192 the case of triaxial specimens, a split mold with an extension collar (ID = 54 mm) and the  
193 membrane already in place. All slurry specimens were left 4 - 10 hours to self-weight consolidate  
194 before free water was removed. Oedometer specimens were incrementally loaded to the estimated  
195 in situ vertical effective stress for the block sample  $\sigma'_{v0} = 125$  kPa using dead weights, left  
196 overnight to consolidate, then unloaded and mounted in the oedometer load frame. Triaxial  
197 specimens were incrementally loaded to 50 kPa while still in the split mold, also using dead  
198 weights. The specimens were unloaded, the top cap and membrane sealed using O-rings and an  
199 internal underpressure of 30 kPa was applied for about 30 minutes prior to removal of the split  
200 mold. For both the MT and SD methods the specimen dimensions were measured while still under  
201 vacuum which was not released until the triaxial cell was filled with water and oil, and a cell  
202 pressure of about 30 kPa was applied. Both MT and SD specimens produced specimens with  
203 almost identical void ratio after consolidation as specimens prepared from the Sherbrooke block  
204 sample (Table 1). Furthermore, replicate specimens prepared using the same method demonstrated  
205 repeatable undrained triaxial compression behavior, as presented in the results section.

206 *Triaxial testing*

207 The triaxial specimens were prepared to diameter,  $d = 54$  mm and height,  $h = 108$  mm and tested  
208 using the procedures described by Lacasse and Berre (1988). During the saturation process the test  
209 specimens were first subjected to an isotropic effective stress (cell pressure) equal to the estimated  
210 value of the initial negative pore pressure (suction) within the specimen. The porous filter stones  
211 were initially dry except for the SD specimens. At the initial isotropic stress, de-aired water was  
212 flushed through the porous stones and any tendency for volume change was prevented by adjusting  
213 the cell pressure until a stable condition was reached. Following this stage, backpressure was  
214 applied using a pressure volume controller and all B values, which were measured at the end of  
215 the consolidation phase, were  $\geq 97\%$  except for one MT reconstituted specimen with a measured  
216 B value of 91%. All specimens were anisotropically consolidated to the best estimate  $\sigma'_{v0}$  and  
217 horizontal effective stress  $\sigma'_{h0}$  using an assumed  $K_0 = 0.5$  (Blaker et al. 2019). All specimens were  
218 allowed to creep for 12 to 24 hours prior to undrained shear. ISA triaxial tests were performed  
219 with peak ISA vertical strains of  $\pm 0.5\%$ ,  $\pm 1.0\%$ , and  $\pm 3.0\%$  except for one test which was  
220 performed inadvertently with asymmetric vertical strains of  $+1\%/ -0.5\%$ . The ISA strain cycles  
221 were followed by undrained removal of the deviator stress (reducing  $\sigma_v$  to  $\sigma_v \approx \sigma_h$ ), the back  
222 pressure was re-set to the end-of-ISA pore pressure, and the specimen was reconsolidated back to  
223  $\sigma'_{v0}$  and  $\sigma'_{h0}$  as shown for example in [Figure 1](#). All monotonic and ISA undrained shear tests were  
224 strain-controlled at a strain rate of 0.5 %/hr. The total radial stress was kept constant while the total  
225 axial stress was increased in compression (CAUC) and decreased in extension (CAUE). All stress  
226 measurements were corrected for membrane resistance and changes in specimen area (Berre 1982).

227 ***Incremental loading oedometer testing***

228 Incremental loading (IL) oedometer tests were performed as per Sandbækken et al. (1986) using  
229 specimens trimmed from the block sample with a cross-sectional area of 20 cm<sup>2</sup> and height 20 mm  
230 and mounted with dry porous filter stones. Slurry specimens were prepared in a 50 cm<sup>2</sup> oedometer  
231 ring to a specimen height of 26 mm. Each load increment was maintained for 60 min, except for  
232 one test on the block sample specimen, on which a 24 hour increment duration was used. A load  
233 increment ratio of approximately one was used in all tests.

234 ***Bender element testing***

235 Piezo ceramic bender elements (Dyvik and Madshus 1985) were used to measure the shear wave  
236 velocity of the triaxial specimens. The bender element at one end of the specimen was used to  
237 transmit a vertically ( $v$ ) propagating horizontally ( $h$ ) polarized sinusoidal shear wave. The receiver  
238 bender element detected the arrival of this shear wave at the opposite end of the specimen, and the  
239 velocity of the shear wave ( $V_{vh}$ ) was determined. The transmitting signal was generated by a  
240 Wavetek model 29 10 MHz Direct Digital Synthesis (DDS) Function Generator, exciting the  
241 transmitting bender with a single  $\pm 10$  V amplitude sine wave triggered at a 10 Hz delay. The  
242 transmitted and received signals were both recorded using a LDS-Nicolet Sigma 30 digital  
243 oscilloscope with 12-bit resolution and up to 10 Ms/s sampling rate.

244 **Results – block samples and reconstituted specimens**

245 The block and tube samples were collected in separate boreholes but all from the depth interval of  
246 11.0 to 11.8 m below grade, and maximum horizontal distance of 3.3 m apart. Typical index and  
247 classification properties were: water content  $w = 27$  %, fall cone liquid limit  $w_L = 29$  %, plastic  
248 limit  $w_P = 21$  %, plasticity index  $I_P = 8$  %, liquidity index  $I_L = 0.7$ , silt fraction ( $\% > 2 \mu\text{m}$  and  $<$   
249  $63 \mu\text{m}$ ) = 89 %, and clay fraction ( $\% < 2 \mu\text{m}$ ) = 9 % (Blaker et al. 2019). As noted above the liquid  
250 limit of 29 % was determined using the fall cone method (ISO 2018) but was also determined

251 using the Casagrande Cup (ASTM 2017) which gave, as expected (e.g. DeGroot et al. 2019) , a  
252 much lower liquid limit  $w_{L,CC} = 23\%$  resulting in an  $I_{P,CC} = 2\%$ . These Casagrande values classify  
253 the Halden silt as ML in the Unified Soil Classification System (ASTM 2017).

#### 254 *1-D compression behavior*

255 [Figure 2](#) presents the 1-D IL results for two Sherbrook block sample specimens and one slurry  
256 consolidated specimen. Volumetric strains of 1.3% and 1.4% were measured for the two block  
257 specimens at  $\sigma'_{v0}$  corresponding to  $\Delta e/e_0$  of 0.031 and 0.032. The strain energy based compression  
258 ratio,  $C_{rw,i}/C_{cw}$  (DeJong et al. 2018) for the two block specimens was in the range of 0.16 - 0.20.  
259 Interpretation of the initial portion of the time-deformation curves using conventional root-time  
260 and log-time methods was not possible but it was evident that end of primary was reached well  
261 within 4 minutes and all data points in [Figure 2](#) are plotted at  $t_c = 4$  minutes. [Figure 2a](#) shows no  
262 evidence of a yield or preconsolidation stress ( $\sigma'_p$ ) and even if plotted in semi-log space the  
263 rounded nature of the compression curves are such that any Casagrande (1936) or Becker et al.  
264 (1987) interpretation of  $\sigma'_p$  is considered unreliable. Based on the geologic history of the site as  
265 summarized by Blaker et al. (2019) the deposit is believed to be geologically normally  
266 consolidated but likely exists in a lightly overconsolidated state due to aging. The recompression  
267 ratio ( $C_{re} = \Delta \varepsilon / \Delta \log \sigma'_v$ ) and maximum compression ratio ( $C_{c\varepsilon,max}$ ) for the block specimens were  
268 0.006 and 0.075, respectively, and the Janbu (1963) constrained modulus ( $M$ ) at the in situ effective  
269 stress ( $\sigma'_{v0}$ ) was about 11 MPa. The average unload-reload constrained modulus ( $M_{ur}$ ) was about  
270 130 MPa. Secondary consolidation effects were rather small, with  $C_{\alpha\varepsilon} / C_{c\varepsilon}$  approximately equal  
271 to 0.035, and thus, consistent with the range suggested by Terzaghi et al. (1996) for inorganic clays  
272 and silts. The slurry consolidated specimen started at the same initial void ratio as the block

273 samples but exhibited much greater compressibility, as anticipated, and the  $e - \log \sigma'_v$  curve did not  
274 converge with that of the block samples within the maximum  $\sigma'_v$  values applied (Figure 2c).

### 275 ***Block and reconstituted undrained stress-strain behavior***

276 Volumetric strain at  $\sigma'_{v0}$ , for the consolidation phase of all the CAUC/E tests ranged from 0.8% to  
277 1.3% and the corresponding  $\Delta e/e_0$  values ranged from 0.014 to 0.031 (Table 1). The shear wave  
278 velocity values normalized by the in situ value, as measured downhole using a seismic flat  
279 dilatometer, SDMT (Blaker et al. 2019),  $V_{vh,0}/V_{vh,SDMT}$ , ranged from 0.83 to 0.87 (Table 1). Overall  
280 the measures of  $\varepsilon_{vol}$ ,  $\Delta e/e_0$  and  $V_{vh,0}/V_{vh,SDMT}$  were uniform for the seven specimens trimmed from  
281 the block sample.

282 Figures 3a and 3b show that for CAUC testing the block sample specimens exhibited an initial  
283 contractive behavior up to 1 - 2% vertical strain but thereafter switched to dilative behavior and  
284 strain hardening response. This behavior is clearly observed in Figure 3c which shows the effective  
285 stress paths turn towards and eventually run along the  $K_f$  line. All tests, including the CAUC test  
286 exhibited an effective stress friction angle at maximum obliquity of  $\phi'_{mo} = 36^\circ$ . This friction angle,  
287 which is the same as that measured for the SD and MT specimens, implies a normally consolidated  
288  $K_0 = (1 - \sin \phi') OCR^{\sin \phi'}$  (Mesri and Hayat 1993) of 0.41. With the Halden deposit considered to  
289 be lightly overconsolidated suggests an estimated in situ  $K_0$  value somewhat greater than 0.41 and  
290 thus the value of 0.50 assumed at the start of the test program seems reasonable.

291 The reconstituted specimens prepared either by MT or SD had essentially the same initial  
292 and end of consolidation void ratios as the block sample specimens (Table 1) but exhibited  
293 significantly different undrained stress-strain behavior. Peak shear stresses of about 35 kPa  
294 occurred at around  $\varepsilon_v = 0.1\%$  and the specimens developed  $u_{max}$  values of around 40 kPa as  
295 depicted in Figure 3d and 3e. Both MT and SD specimens showed post-peak strain softening

296 behavior but from about  $\varepsilon_v = 3\%$  the stress-strain characteristics switch towards dilative behavior  
297 and strain hardening as the stress path reaches the  $K_f$  line at essentially the same maximum  
298 obliquity friction angle of  $36^\circ$  as the block sample specimens (Figure 3f).

299 The significant difference in the block and reconstituted undrained shear behavior is  
300 believed to be due to differences in structure. The reconstitution procedure most likely does not  
301 replicate the depositional environment of the natural soil. Furthermore, the in situ soil had  
302 undergone significant aging, i.e., multiple log cycles of secondary compression (Blaker et al.  
303 2019). In contrast, reconstituted laboratory specimens were aged for only a short period after end  
304 of primary consolidation. While physical handling and trimming of the block sample was possible  
305 without support, the SD specimens (with essentially the same void ratio and silt and clay content)  
306 had to be supported during preparation and even after dead-weight consolidation to 50 kPa. As no  
307 evidence of cementation has been found for the Halden silt (Blaker et al. 2019) this implies that  
308 an inherent structure of the block sample prevented collapse of the unconfined soil matrix and was  
309 likely also responsible for the stiffer strain hardening observed in CAUC tests and likewise for the  
310 1D consolidation behavior. This intact structure could not be replicated by reconstitution in the  
311 laboratory by either of the two reconstitution methods without any form of aging of the soil.  
312 Figures 4a to 4c show how the stress-strain, stress-path and secant shear modulus ( $G_u = \Delta(\sigma'_v -$   
313  $\sigma'_h)/3\Delta\varepsilon_v$ ) of reconstituted Halden silt (SD) changes after only 7 days ( $10^4$  minutes) of drained  
314 creep in the triaxial cell. The lower void ratio after consolidation ( $e_c = 0.67$  for 7 days creep versus  
315  $0.71$  for 2 hours creep) cannot alone explain the 15% increase in peak shear stress of the "aged"  
316 SD specimen. The secant shear modulus at small shear strains of the unaged SD specimen was  
317 also lower for all levels of shear strain compared to the SD specimen subjected to 7 days of drained  
318 creep. Mesri et al. (1990) and Schmertmann (1991) hypothesized that drained creep is the

319 dominant mechanism of aging of granular soils on an engineering timescale and that the increase  
320 in stiffness and strength during drained creep results from both increased density and continued  
321 particle rearrangement creating an increase in macrointerlocking of particles and  
322 microinterlocking of surface roughness. Furthermore, angular particles, like those present in the  
323 Halden silt (Blaker et al. 2019), can result in a greater aging effect since they have a larger range  
324 of stable contacts and more particle interlocking (Mitchell and Soga 2005).

### 325 *ISA strain cycling behavior*

326 Positive shear induced pore pressure continuously developed during ISA shearing of the block  
327 sample specimens which caused a significant reduction in  $p'$  as shown in Figure 5. For the  $\pm 3.0\%$   
328 ISA test, the effective stress path towards the end of the ISA strain cycle eventually tracked the  
329 CAUC/E  $K_f$  lines. The change in mean effective stress  $\Delta p'_c$  expressed as percentage of the pre-ISA  
330 mean effective stress after consolidation  $p'_c$  (Santagata and Germaine 2002) ranged from 74% and  
331 98% (Figure 5c). ISA shearing of the SD specimens with strain cycles of  $\pm 1\%$  and  $\pm 3\%$  also  
332 caused a significant decrease in  $p'$  with  $\Delta p'/p'_c$  equal to 95% and 98% (Figure 5f) with the effective  
333 stress path towards the end of the ISA cycle also tracking the same  $K_f$  line as the block sample  
334 specimens. These effective stress path excursions for both the block and SD specimens towards  
335 very low  $p'$  values are consistent with that reported by Lukas et al. (2019) for synthetic silt  
336 mixtures. However, this significant loss of  $p'$  during ISA simulation of tube sampling is much  
337 greater than that measured for clays (e.g., Santagata and Germaine 2002).

### 338 *Post-ISA reconsolidation and disturbed undrained shear behavior*

339 The post-ISA recompression  $\varepsilon_{vol}$  and  $\Delta e/e_0$  values required to bring the disturbed silt specimens  
340 back to the pre-ISA effective stress state increased with increasing magnitude of the ISA strain  
341 cycle (Table 1). For all post-ISA tests,  $e_0$  was taken as the pre-ISA void ratio  $e_c$ .  $\Delta e/e_0$  and  $\varepsilon_{vol}$  were

342 both higher for the reconstituted specimens than the companion tests on block samples. Lunne et  
343 al. (2006) cautioned that the  $\Delta e/e_0$  method may not be applicable for low plasticity silts. This  
344 appears to be the case here as the  $\Delta e/e_0$  values in [Table 1](#) show that even after being subjected to  
345 significant strain induced disturbance, the samples still rated within the "Very good to excellent"  
346 and "Good to fair" clay-based sample quality ratings (Lunne et al. 1997) or quality A or B using  
347 the SQD system (Terzaghi et al. 1996). It also confirms recently published findings of Carroll and  
348 Long (2017), DeJong et al. (2018) and Lukas et al. (2019). Furthermore, bender element tests  
349 demonstrated a significant decrease in  $V_{vh}$  during ISA (from  $V_{vh,0}$  to  $V_{vh,ISA}$ ) - corresponding to  
350 large decrease in  $p'$ .  $V_{vh,ISA}$ , however, showed complete recovery to  $V_{vh,0}$  upon post-ISA  
351 reconsolidation ([Table 1](#)). Yet, post-ISA undrained shear behavior was very different for ISA  
352 disturbed specimens compared to the reference block sample specimens, indicating, in this case,  
353  $V_{vh}$  does not track sample disturbance well.

354         Increasing ISA-imposed strain damage from  $\pm 0.5\%$  to  $\pm 3.0\%$  increased the rate of shear  
355 stress development with strain in the block sample specimens as shown in [Figure 6a](#), especially  
356 for the  $\pm 3.0\%$  test. This corresponds to an increasing rate of negative shear induced pore pressure  
357 with an increase in ISA strain ([Figure 6b](#)). However, as strain continues both the undisturbed  
358 specimen and the ISA disturbed specimens all converged to the same failure envelope ([Figure 6c](#)).  
359 [Figures 6d to 6f](#) present results of the post-ISA undrained shear behavior of the SD specimen and  
360 show similar trends to that of the block sample specimens although with more dramatic effect. At  
361 an ISA strain of  $\pm 3.0\%$ , the strain softening observed in the reference undisturbed SD specimen is  
362 completely removed, a much lower  $\Delta u$  is developed, and the effective stress path significantly  
363 shifts to the right ([Figure 6f](#)). Indeed, an interesting outcome of these tests is that with an increase

364 in ISA disturbance strain level the behavior of the reconstituted soil progressively migrates towards  
365 that of the block sample.

### 366 *Influence of tube sampling*

367 [Figure 7](#) presents results from two CAUC tests conducted on samples collected using the NGI 54  
368 and GP-S fixed piston samplers. The values of  $\varepsilon_{vol}$  and  $\Delta e/e_0$  during reconsolidation were 1.1 %  
369 and 0.024 for the NGI 54 and 1.1 % and 0.026 for the GP-S samples which is essentially the same  
370 as that of the two CAUC block sample specimens ([Table 1](#)). These values suggest similar sample  
371 quality for the tube samples as that of the block samples and yet the undrained shear behavior is  
372 markedly different. The specimens from the tube samples have a much a greater rate of shear stress  
373 and negative pore pressure development with increasing vertical strain. Although at large strains  
374 all the tests converge to the same failure envelope at about  $\phi'_{mo} = 36^\circ$ . Results from the  $\pm 1$  and  
375 3% ISA tests performed on the block sample specimens are also plotted for reference in [Figure 7](#).  
376 These results indicate a general similarity in the effect on undrained shear behavior of actual tube  
377 sampling disturbance (NGI 54 and GP-S) and simulated tube sampling disturbance (ISA tests on  
378 the block sample). Both tube samplers have a poor area ratio with the GP-S sampler being the  
379 worse of the two and yet the results in [Figure 7](#) indicate greater disturbance for the NGI 54 sampler.  
380 It is hypothesized that some compensation occurred due to the reduction in friction between the  
381 sampler wall and soil by the polymer gel.

### 382 **Discussion of results**

383 The field work described by Blaker et al. (2019), and the results presented above demonstrate that,  
384 although challenging, an intact Sherbrooke block sample in this case was successfully collected in  
385 a  $I_p = 2$  % soil with 89% silt and 9% clay. Recompression metrics,  $\varepsilon_{vol}$  and  $\Delta e/e_0$ , for the block and  
386 tube samples were low and similar, yet the undrained stress-strain behavior of the tube samples

387 was markedly different, reaching much higher shear stress at lower strains. The post-ISA  
388 reconsolidation phase suggested that for Halden silt neither  $\varepsilon_{vol}$ ,  $\Delta e/e_0$ , nor  $V_{vh}$  track sample  
389 disturbance for the ISA specimens; even after significant ISA induced disturbance post-ISA  $\Delta e/e_0$   
390 values were very low and  $V_{vh,ISA}$  completely recovered to  $V_{vh,0}$ .

391 The low compressibility and dilative type behavior during undrained shear of the block  
392 sample specimens, and high compressibility and contractive type undrained shear behavior of the  
393 reconstituted specimens confirm the differences also observed by Høeg et al. (2000) for the  
394 Swedish Børlange silt. It appears that the natural soil structure and undrained response to triaxial  
395 compression loading of Halden silt cannot be replicated using reconstitution methods even when  
396 prepared to the same void ratio as the block sample specimens (Figure 3). One test did show that  
397 aging during 7 days of laboratory drained creep stiffened a slurry reconstituted specimen, but it  
398 still did not behave close to that of the block sample (Figure 4). At a minimum a significantly  
399 greater duration of drained creep would be required. Furthermore, natural seismic ground motion  
400 over the years could have also resulted in stiffening and strengthening of the natural silt deposit.

401 The significant effects of simulated tube sampling (ISA) were confirmed by the observed  
402 stress-strain behavior of collected NGI 54 and GP-S tube samples. Increasing degree of  
403 disturbance generally resulted in increasingly pronounced dilative type behavior and consequently  
404 higher mobilized shear stresses at almost all strength criteria (Table 2 and Table 3). The effective  
405 stress friction angle, however, were essentially the same for all tests, independent of sampling or  
406 preparation method (block, tube or reconstitution) and degree of disturbance. If undrained shear  
407 strength is required for design, selection of a representative value is highly dependent on the state  
408 of the laboratory test specimens, strength criterion and the design application, i.e. whether lower  
409 bound or higher bound values are required. Figure 8 illustrates how the combination of the Brandon

410 et al. (2006) 1 to 6 undrained shear strength criteria and sampler type can have a significant effect  
411 on the selected undrained shear strength. The block sample is considered to be a more accurate  
412 representation of the intact soil than the tube samples, given difference in the stress-strain behavior.  
413 For such a silt that exhibits dilative type behavior criterion 6 ( $u_{max}$ ) nevertheless gives close to the  
414 same  $s_u$  value for all three samplers. At this point, the soil is not dilating yet and the differences in  
415 measured behavior are small. Furthermore, selection of a representative design value of  $A_f$  (e.g.  
416 0.0 or 0.25) will give near the same  $s_u$  for all tests as the Halden silt converges onto the same  $K_f$   
417 line, independent of sample type, and at the same time typically limit  $\varepsilon_{vf} < 10\%$ . It is noted,  
418 however, that in [Figure 8c](#) the starting point (end of consolidation stress, i.e.  $p'_c$  and  $q_c$ ) of the three  
419 tests show small differences and values of  $s_u$  at  $A_f = 0$  and 0.25 are thus somewhat different. For  
420 the other criteria,  $s_u$  of the tube sample specimens were generally well above that of the block, by  
421 up to 159% ([Table 2](#)). In the extreme case, a selected representative value of  $s_u$  from 11.5 m depth  
422 at Halden can range from about 50 kPa (block sample at criterion 6 -  $u_{max}$ ) to 120 kPa (NGI 54 at  
423 criterion 2 -  $\varepsilon_{vf} = 10\%$ ), giving a factor of 2.4. [Figure 9](#) shows that, except for the  $u_{max}$  and  $A_f = 0$   
424 criteria, the undrained shear strength estimates increase with increasing magnitude of ISA induced  
425 strain for all other criteria. Relative to the reference monotonic block sample results (plotted at  $\varepsilon_{zz}$   
426 = 0%) the increase in  $s_u$ , is the largest for  $q_{max}$  and  $\varepsilon_{vf} = 10\%$  criteria. These findings imply that  
427 undrained triaxial testing of tube sampled silt specimens can lead to selection of an artificially high  
428 undrained shear strength for design. These effects are opposite of that observed for low to moderate  
429 overconsolidation clays, where disturbance typically results in a softer stress-strain response and  
430 lower peak undrained shear strength.

431 The selection of undrained shear strength is an important issue for design of structures in  
432 silt where loading regime, structure geometry or drainage properties of the soil are such that

433 undrained, or partially drained conditions prevail. From CAUC results for the Halden silt it appears  
434 that the shear stress at  $u_{max}$  represents the lower bound, and at  $\varepsilon_{v,f} = 10\%$  the upper bound undrained  
435 shear strengths, respectively. Selection of the relevant  $s_u$  for design will need to assess if the field  
436 application will be undrained, fully drained, or partially drained. Applying  $A_f$  in the range of 0.0  
437 to 0.25 as upper bound strength criterion; (i) reduces the range between the upper and lower bound  
438 undrained shear strength; (ii) allows the design to rely on dilative type behavior, but not on the  
439 shear induced pore pressure actually going negative or excessive values of strain; and (iii)  
440 minimizes the adverse effect of sample disturbance on design parameter selection. At a minimum  
441  $A_f = 0$  provides a valuable reference undrained shear strength equal to the drained shear strength.  
442 For strongly dilative soils like the Halden silt any strength criterion yielding  $A_f < 0$  needs careful  
443 consideration unless higher values of undrained shear strength are conservative, e.g. for extraction  
444 assessments, skirt penetration, pile driving etc. For stability problems, lower values of  $s_u$  are more  
445 conservative and consideration should be given to estimated strain levels and pore pressure  
446 dissipation in the field.

#### 447 **Summary and conclusions**

448 This paper presents a laboratory investigation of the undrained shear behavior of a natural low  
449 plasticity silt from Halden, Norway in the intact, disturbed and reconstituted states. Specimens  
450 trimmed from a Sherbrooke block and reconstituted specimens were tested using the ideal  
451 sampling approach (ISA) framework in a triaxial stress path cell system. Three levels of ISA  
452 vertical strain cycles,  $\pm 0.5\%$ ,  $\pm 1\%$  and  $\pm 3\%$ , were applied to simulate different degrees of tube  
453 sampling disturbance. The sample quality recompression metrics, demonstrated that neither  $\Delta e/e_0$ ,  
454  $\varepsilon_{vol}$ , nor shear wave velocity,  $V_{vh}$ , track sample disturbance well for this low plasticity silt unlike  
455 that for moderate to low OCR clays. Relative to the reference block sample specimens ISA strain

456 cycles, and subsequent reconsolidation to the best estimate in situ effective stress conditions,  
457 resulted in an increasingly pronounced dilative type behavior during post-ISA undrained triaxial  
458 shear, and a general increase in  $s_u$ . The ISA disturbed block sample specimens also showed similar  
459 stress-strain behavior as that measured in conventional CAUC tests conducted on specimens from  
460 the NGI 54 mm composite and GP-S fixed piston tube samplers. These results indicate that tube  
461 sampling can cause significant alteration of the intact soil state. However, in all cases the intact,  
462 disturbed and reconstituted specimens reached the same effective stress failure envelope. For  
463 design applications an assessment of whether the field application will involve drainage is an  
464 important consideration. Applying undrained shear strength criteria for soils that exhibit dilative  
465 behavior the  $u_{max}$  and  $0.25 \geq A_f \geq 0$  as lower and upper bound strength criteria reduces the range in  
466 characteristic undrained shear strength; ensures that  $s_u$  does not rely on net negative pore pressures  
467 or excessive strains; and mitigates the adverse effect of sample disturbance on design parameter  
468 selection.

#### 469 **Data availability statement**

470 Some or all data, models, or code that support the findings of this study are available from the  
471 corresponding author upon reasonable request.

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602

603 **Figure Titles**

604

605 **Fig. 1.** Ideal sampling approach (ISA, Baligh et al. 1987) concept illustrated by (a) shear stress  
606 versus vertical strain, and (b) stress path plots. – data for block sample specimen of Halden silt.

607 **Fig. 2.** 1D consolidation of Sherbrooke block and reconstituted (slurry) Halden silt. Vertical  
608 effective stress versus vertical strain on (a) linear and (b) semi - log axis, and (c) void ratio  
609 versus log stress.

610 **Fig. 3.** Undrained shear behavior of (a to c) Sherbrooke block and (d to f) reconstituted Halden  
611 silt.

612 **Fig. 4.** "Aging" effect on undrained triaxial compression shear behavior of reconstituted (slurry)  
613 Halden silt. (a) Stress - strain, (b) stress - path, and (c) shear modulus reduction with shear strain.

614 **Fig. 5.** ISA strain cycling behavior from triaxial tests on (a to c) block, and (d to f) reconstituted  
615 (slurry) Halden silt.

616 **Fig. 6.** Post-ISA undrained shear behavior from triaxial tests on (a to c) block, and (d to f)  
617 reconstituted (slurry) Halden silt.

618 **Fig. 7.** Effect of simulated (ISA, Baligh et al., 1987) and true sample disturbance on undrained  
619 shear behavior. (a) Stress – strain, (b) pore pressure - strain, and (c) stress - path.

620 **Fig. 8.** Undrained shear strength criteria (Brandon et al.2006) illustrated for CAUC tests on  
621 three types of Halden silt samples (NGI 54, GP-S and Sherbrooke block). (a) Stress – strain, (b)  
622 pore pressure - strain, and (c) stress - path.

623 **Fig. 9.** Effects of simulated sampling disturbance (ISA, Baligh et al., 1987) on selection of  
624 undrained shear strength from CAUC tests on Sherbrooke block samples of Halden silt for  
625 various criteria (data in Table 2).

626 **Tables**627 **Table 1:** Key initial, after consolidation and post-ISA data from IL oedometer and CAUC tests on block, disturbed and reconstituted Halden silt.

Test	Depth	Test type	Sample 1)	$w_i$	$\gamma_t$	$e_i^{2)}$	$e_c^{2)}$	$\varepsilon_{vc}^{2)}$	$\varepsilon_{vol}^{2)}$	$\Delta e/e_0$	$V_{vh,0}/$ $V_{vh,SDMT}^{3)}$	$V_{vh,ISA}/$ $V_{vh,0}^{3)}$	$V_{vh,p-ISA}/$ $V_{vh,0}^{3)}$	$\Delta e/e_0$ <sup>4)</sup> p-ISA
(-)	(m)	(-)	(-)	(%)	(kN/m <sup>3</sup> )	(-)	(-)	(%)	(%)	(-)	(-)	(-)	(-)	
HALB04-10-2-A1	11.5	IL	SB	27.8	19.25	0.76	0.74	1.38	1.38	0.032				
HALB04-10-2-A2	11.5	IL	SB	25.3	19.22	0.73	0.71	1.29	1.29	0.031				
HALB04-Batch3-1	-	IL	SD	30.1	19.53	0.77	0.68	5.18	5.18	0.119				
HALB04-10-1-A2	11.5	CAUC	SB	28.0	19.37	0.74	0.72	0.72	0.99	0.024	0.83			
HALB04-10-1-B1	11.5	CAUC	SB	27.3	19.39	0.73	0.71	0.78	1.10	0.026	0.83			
HALB04-10-1-D2	11.5	CAUC	SB	26.8	19.47	0.72	0.71	0.54	0.56	0.014	0.85			
HALB04-10-1-C2	11.5	ISA±0.5%	SB	25.9	19.32	0.72	0.70	0.65	1.12	0.026	0.86	0.70	1.01	0.010
HALB04-10-1-B2	11.5	ISA±1%	SB	27.7	19.39	0.73	0.71	0.70	1.15	0.027	0.84		1.03	0.017
HALB04-10-1-C1	11.5	ISA±1%	SB	26.5	19.44	0.71	0.69	0.86	1.29	0.031	0.87	0.56	1.01	0.017
HALB04-10-1-D1	11.5	ISA±3%	SB	27.4	19.47	0.72	0.71	0.55	0.79	0.018	0.85	0.41	0.99	0.039
HALB03-9-A1	11.6	CAUC	NGI54	27.9	19.55	0.72	0.71	0.90	1.08	0.026	0.83			
HALB06-4-D1	11.4	CAUC	GP-S	28.2	20.34	0.65	0.65	1.11	1.06	0.024	0.84			
HALB04-Batch1-1	-	CAUC	MT	28.0	19.32	0.75	0.70	2.08	2.40	0.056				
HALB04-Batch1-2	-	CAUC	MT	28.1	19.30	0.75	0.73	2.00	1.33	0.031				
HALB04-Batch1-3	-	CAUC	SD	28.1	19.30	0.75	0.71	2.55	2.14	0.049				
HALB04-Batch1-4	-	CAUC	SD	27.2	19.43	0.73	0.70	1.77	1.33	0.032				
HALB04-Batch1-5	-	ISA±1%	SD	27.5	19.40	0.73	0.70	2.65	2.02	0.048				0.026
HALB04-Batch1-6	-	ISA±3%	SD	28.0	19.31	0.75	0.70	3.28	2.52	0.059				0.066
HALB04-Batch2-1	-	CAUC (w/creep)	SD	26.6	19.51	0.71	0.67	3.02	2.36	0.056				

Note: <sup>1)</sup> SB = Sherbrooke Block, NGI54 = NGI 54mm composite piston sampler, GP-S = Gel Push sampler, MT= Reconstituted, Moist Tamping, SD = Reconstituted, Slurry Deposition; <sup>2)</sup> Void ratio after preparation ( $e_i$ ) and after consolidation to best estimate in situ stress conditions ( $e_c$ ), vertical ( $\varepsilon_{vc}$ ) and volumetric ( $\varepsilon_{vol}$ ) strains after consolidation; <sup>3)</sup> Shear wave velocity from bender elements after consolidation ( $V_{vh,0}$ ), after ISA imposed strain ( $V_{vh,ISA}$ ), post-ISA reconsolidation ( $V_{vh,p-ISA}$ ) and in situ shear wave velocity from seismic flat dilatometer, SDMT ( $V_{vh,SDMT} = 178$  m/s), (Blaker et al. 2019).  $V_{vh,0}$  averaged 151.3 m/s for all bender element tests on block sample specimens ( $n = 8$ ,  $SD = 2.56$  m/s); <sup>4)</sup>  $e_0$  was taken as the pre-ISA void ratio,  $e_c$ .

628

629 **Table 2:** Undrained shear strength of Halden silt Block 10 (11.5m) tests using Brandon et al. (2006) failure criteria for dilating soils.

Sample or Test Type	$A_f = 0$		$A_f = 0.25$		$(\sigma'_1/\sigma'_3)_{\max}$		$u_{\max}$		$K_f$ line		$\varepsilon_{v,f} =$ 5.0%	$\varepsilon_{v,f} =$ 10%	$(\sigma'_1 - \sigma'_3)_{\max}$
	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$q_f$ (kPa)	$q_f$ (kPa)
<i>Sherbrooke block and tube samples</i>													
Sherbrooke Block	83.7	10.4	61.6	2.9	69.6	4.8	50.3	0.9	69.8	4.8	69.7	83.8	93.6
Sherbrooke Block	83.1	11.0	62.3	3.3	76.9	7.2	49.1	1.0	76.4	7.1	71.5	82.3	90.0
Tube (NGI 54)	89.6	5.2	62.8	2.0	85.9	4.7	52.1	1.0	84.7	4.7	88.0	120.8	148.7
Tube (GP-S)	94.1	8.1	67.9	3.5	67.0	3.4	53.5	1.6	66.7	3.4	77.4	102.1	118.5
<i>Ideal Sampling Approach (ISA)</i>													
± 0.5% ISA	87.2	6.8	57.8	1.0	87.8	7.0	56.1	0.8	85.6	6.9	79.8	93.0	98.6*
± ~1.0% ISA	85.9	5.5	52.1	0.5	89.6	6.0	59.7	1.0	88.7	6.0	83.5	98.9	111.8
± 1.0% ISA	86.8	5.1	54.4	0.4	94.5	6.9	57.2	0.6	90.9	5.9	85.2	101.4	110.9*
± 3.0% ISA	88.6	3.3	59.5	1.2	105.8	5.2	48.4	0.6	106.2	5.2	105.0	131.3	153.0

**Note:**  $(\sigma'_1 - \sigma'_3)_{\max}$  at end of test, i.e. at about 20% vertical strain. \* Specimen did not reach 20% vertical strain but stopped at about 15%.

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632

633 **Table 3:** Undrained shear strength of Halden silt MT and SD (11.5m) tests using Brandon et al. (2006) failure  
 634 criteria for dilating soils

Sample or Test Type	$A_f = 0$		$(\sigma'_1/\sigma'_3)_{max}$		$u_{max}$		$K_f$ line		$\varepsilon_{v,f} = 5.0\%$	$(\sigma'_1-\sigma'_3)_{max}$	
	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$\varepsilon_f$ (%)	$q_f$ (kPa)	$q_f$ (kPa)	$\varepsilon_f$ (%)
<i>Reconstituted specimens</i>											
MT Undisturbed	-	-	33.0	7.5	31.4	5.7	33.0	7.5	30.8	40.5 (36.1)*	15.4 (0.1)*
MT Undisturbed	-	-	23.2	6.5	23.2	6.8	23.3	6.7	23.5	36.0*	0.1*
SD Undisturbed	-	-	30.4	9.3	26.4	5.0	31.2	9.9	26.4	41.5 (34.2)*	19.9 (0.1)*
SD Undisturbed	-	-	27.7	8.8	25.4	5.5	27.8	8.9	25.3	36.5 (34.6)*	19.5 (0.04)*
<i>Ideal Sampling Approach (ISA)</i>											
SD ± 0.5% ISA	-	-	39.5	8.4	37.1	5.1	39.6	8.4	37.0	49.5 (38.7)*	19.9 (0.4)*
SD ± 3.0% ISA	78.1	13.8	59.0	6.9	44.1	2.1	59.2	6.9	53.3	88.5	19.9

**Note:** \* Low strain peak shear stresses, i.e. peak shear stress prior to strain hardening behavior.

Figure 1 (revised)

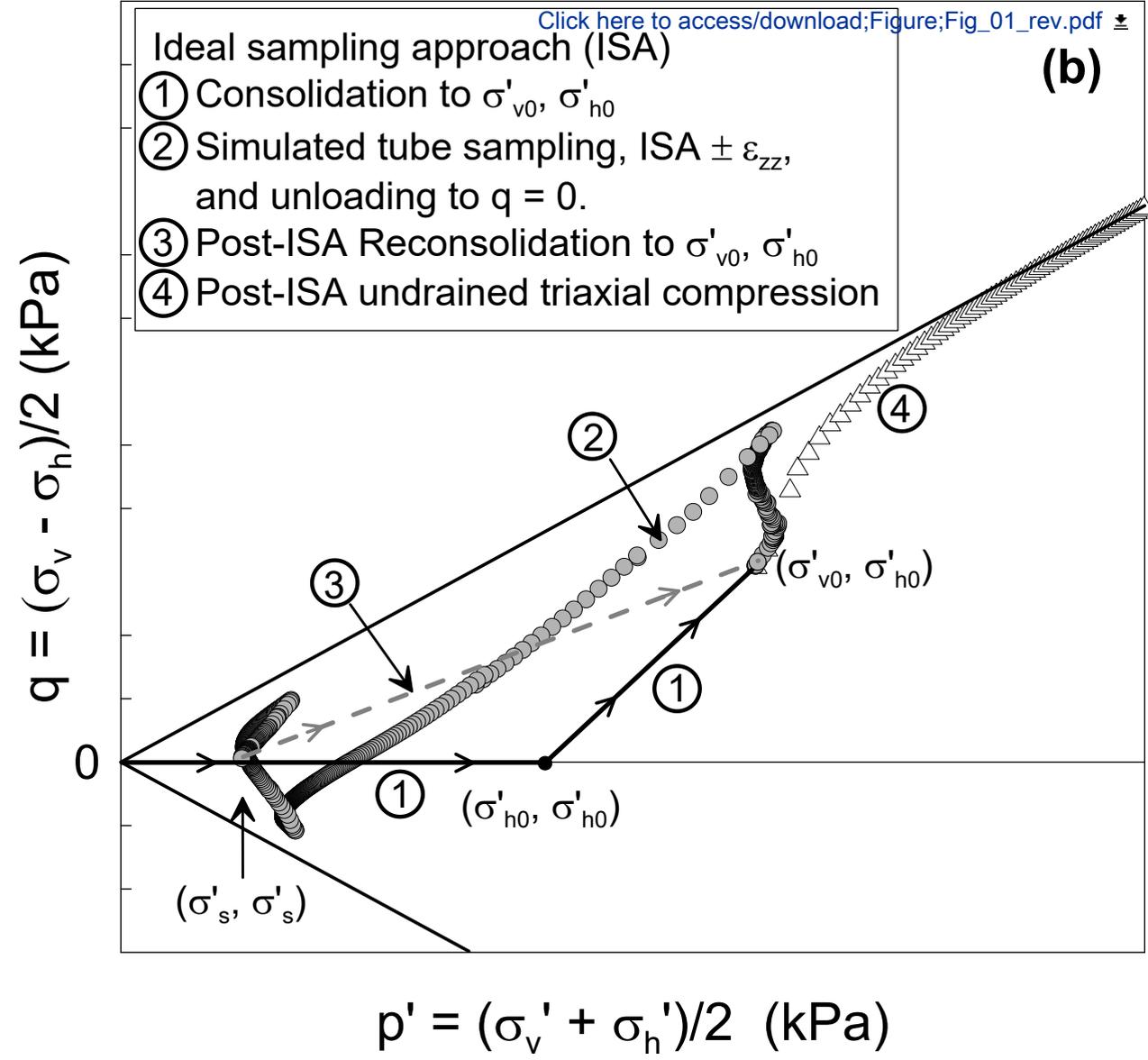
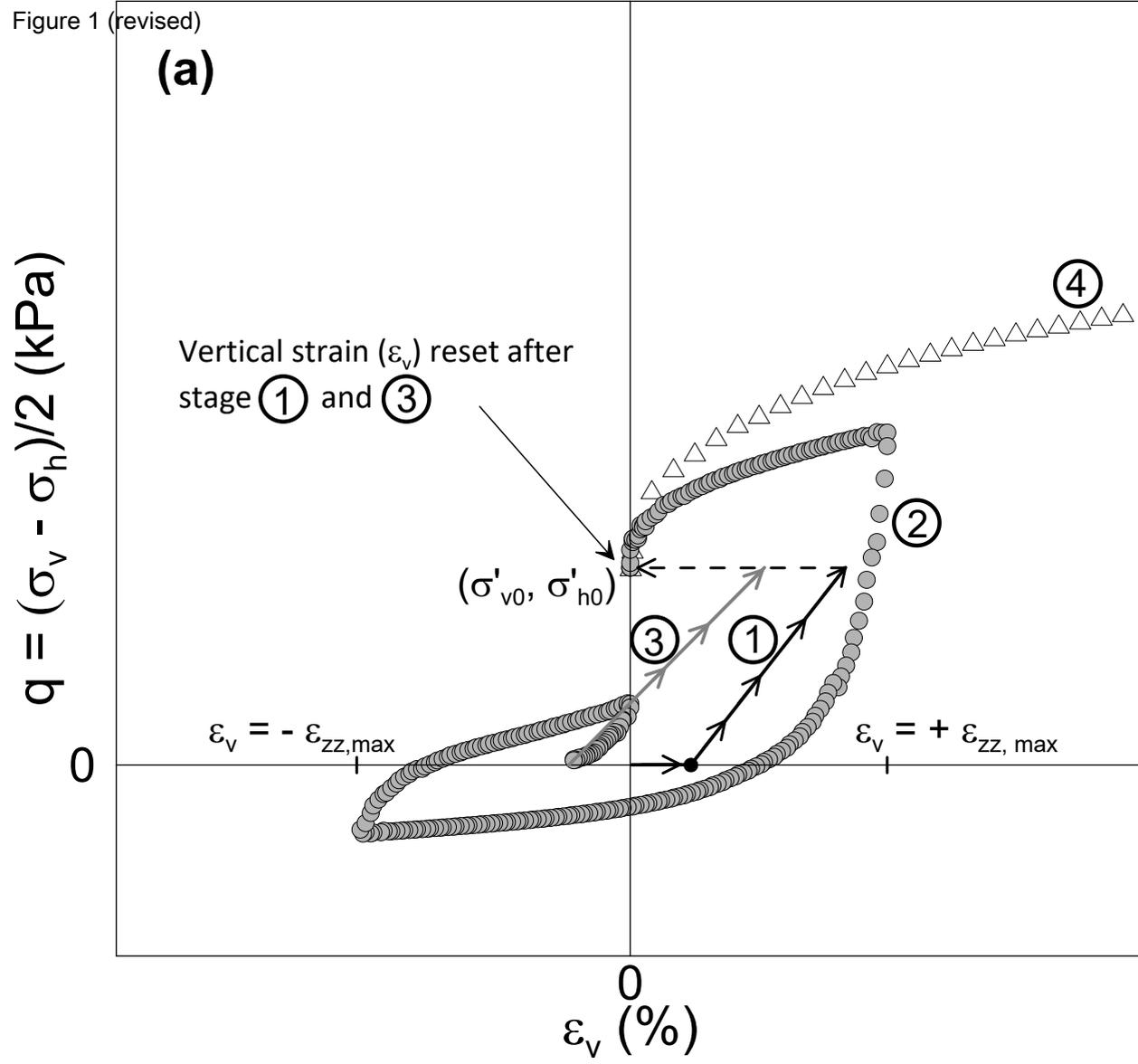
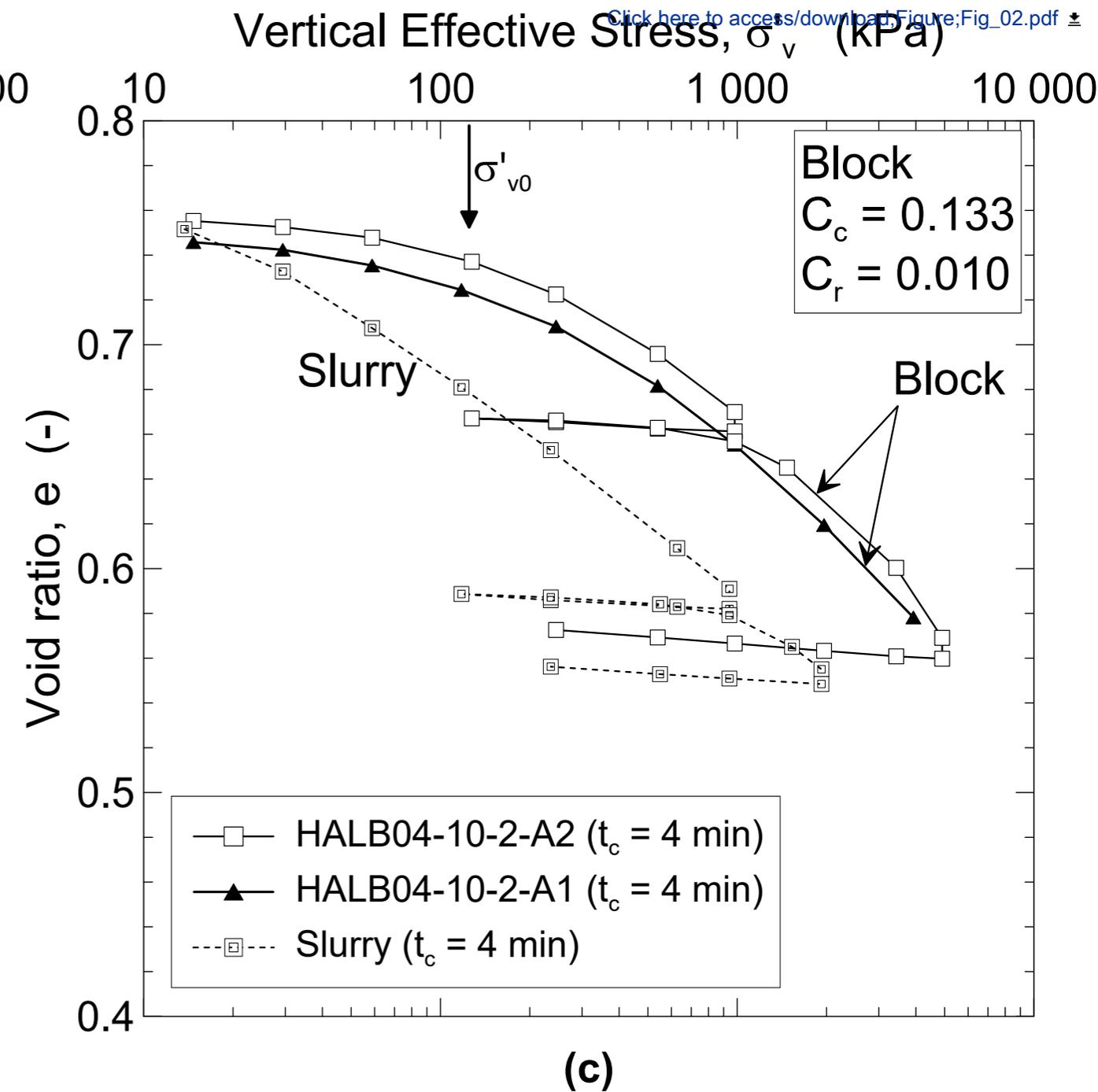
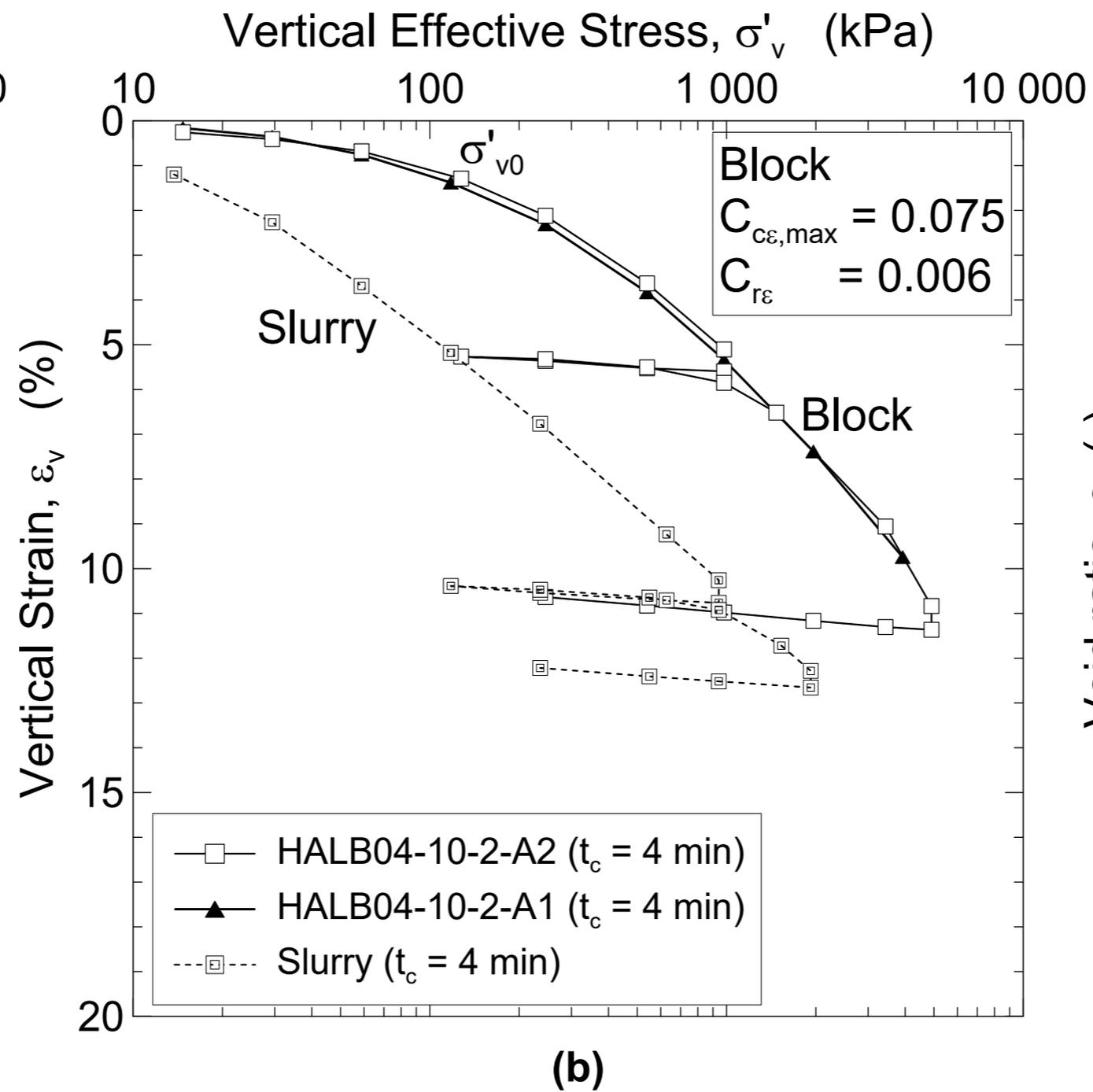
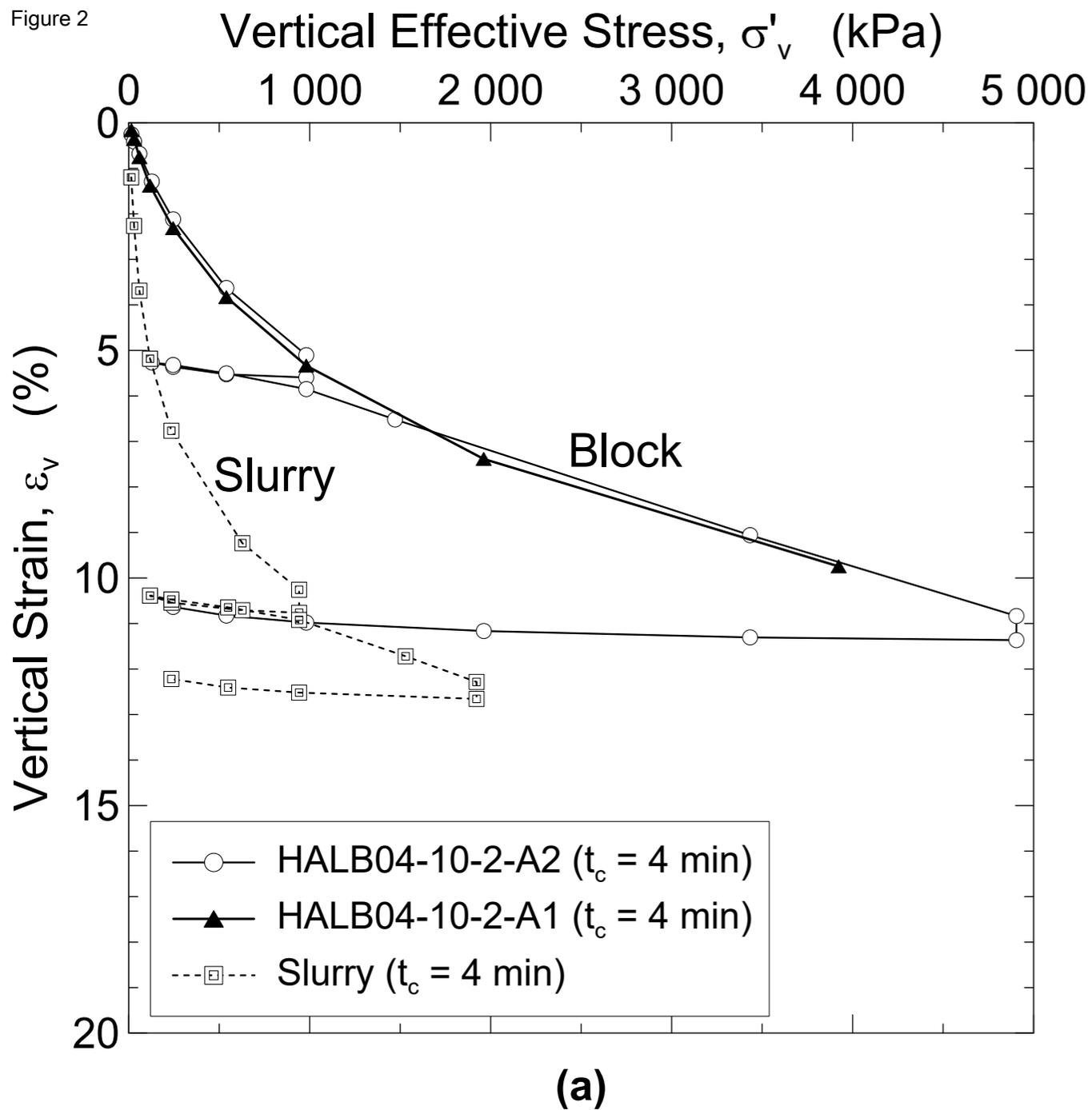
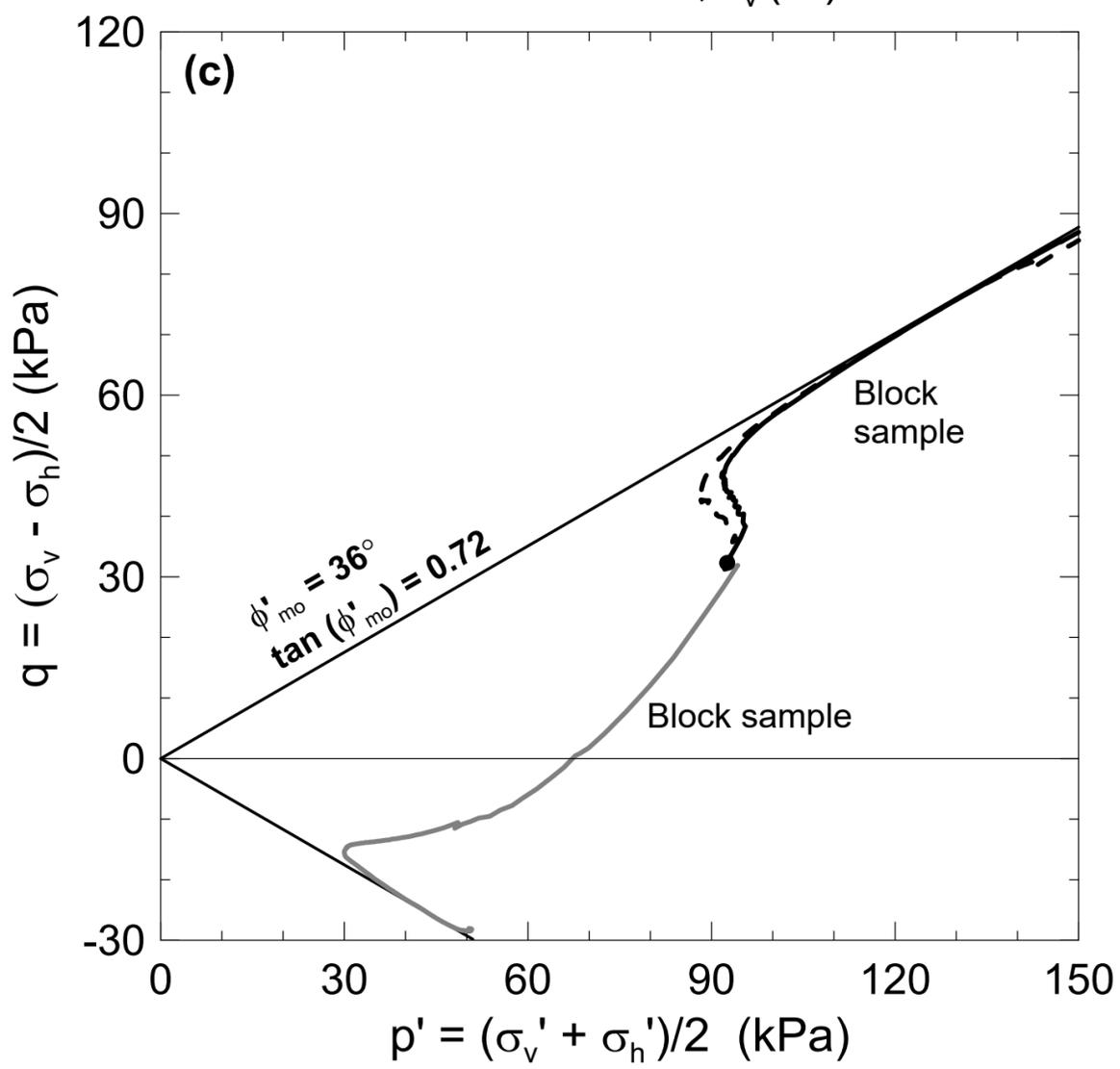
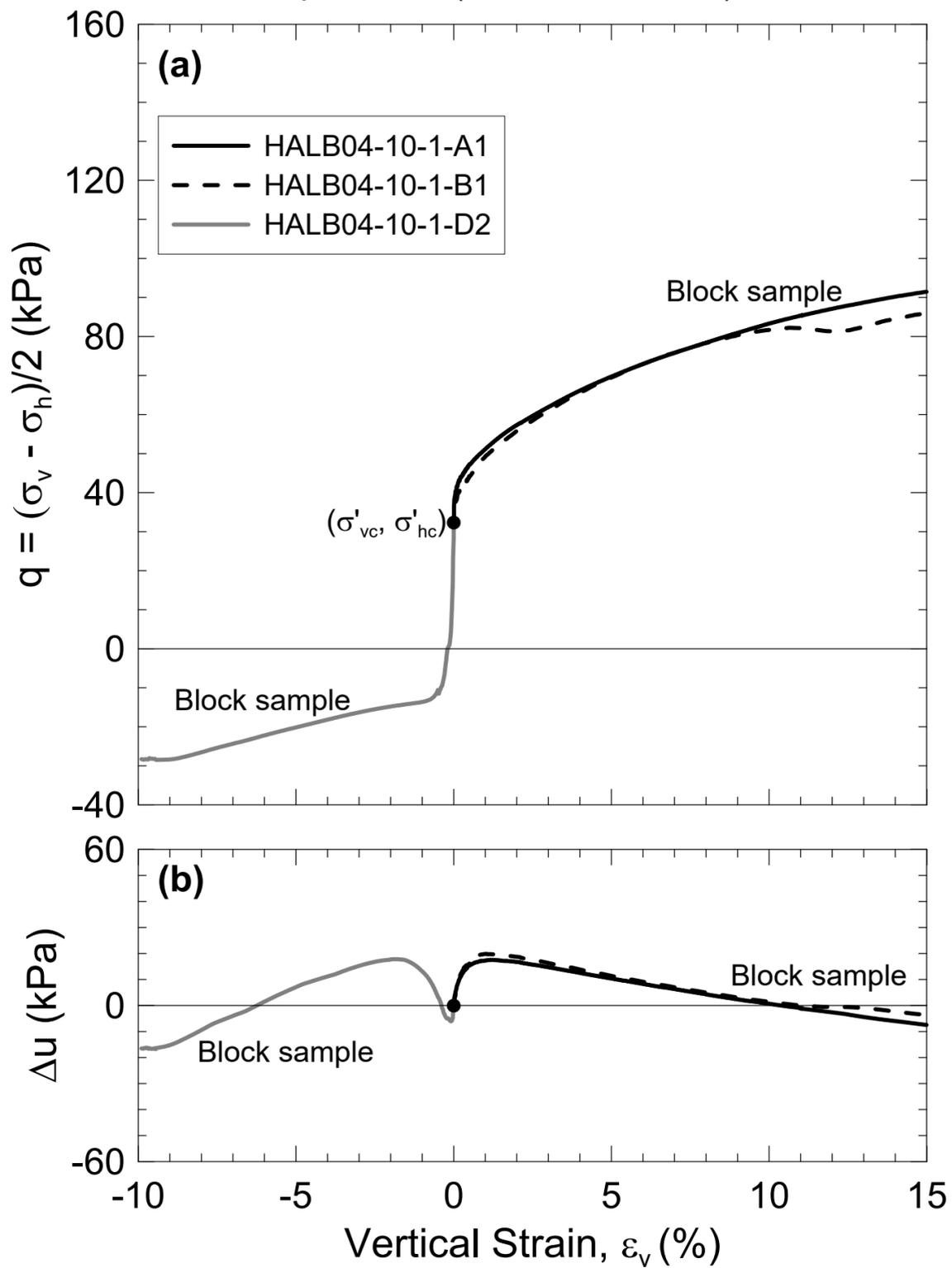


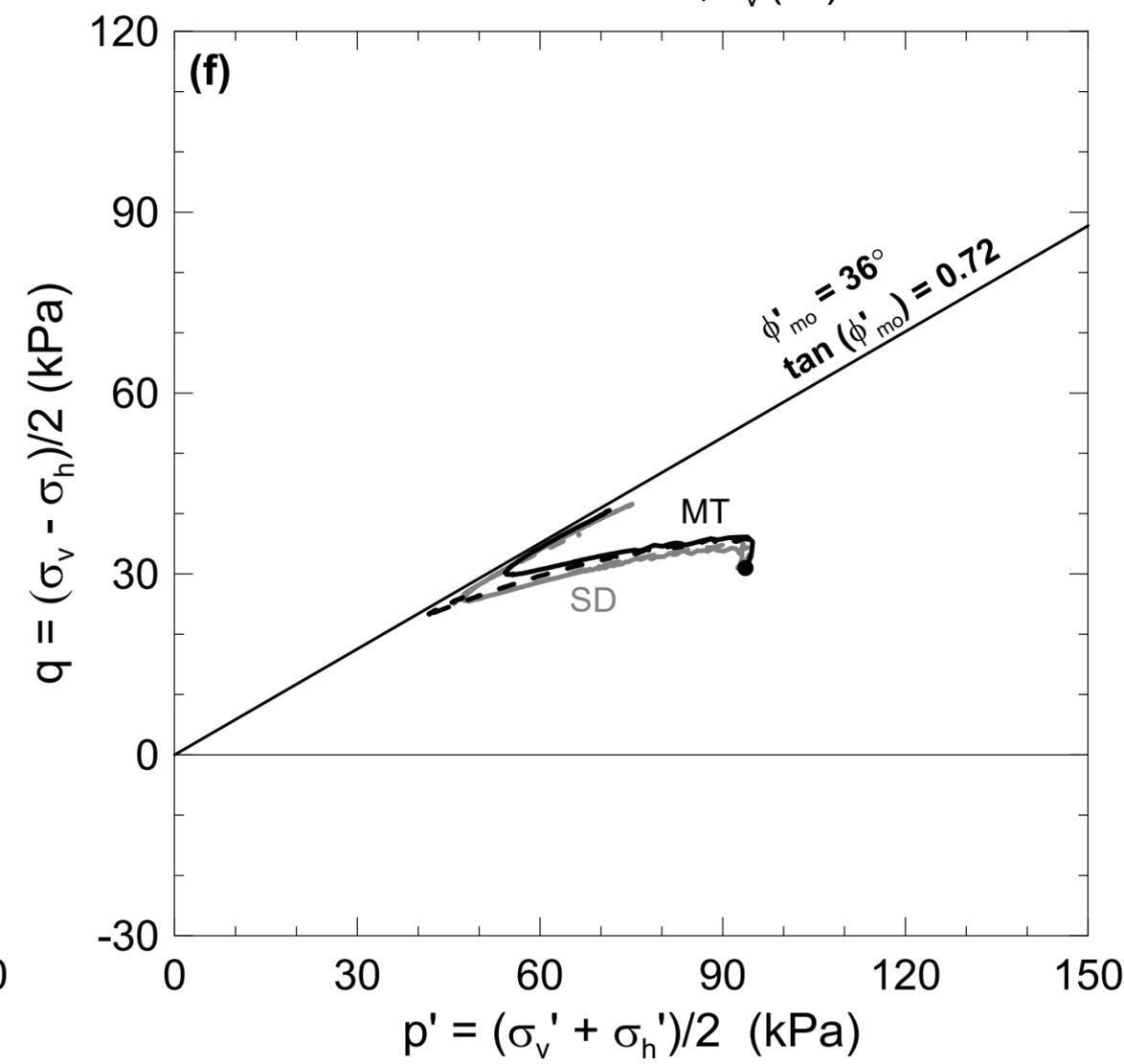
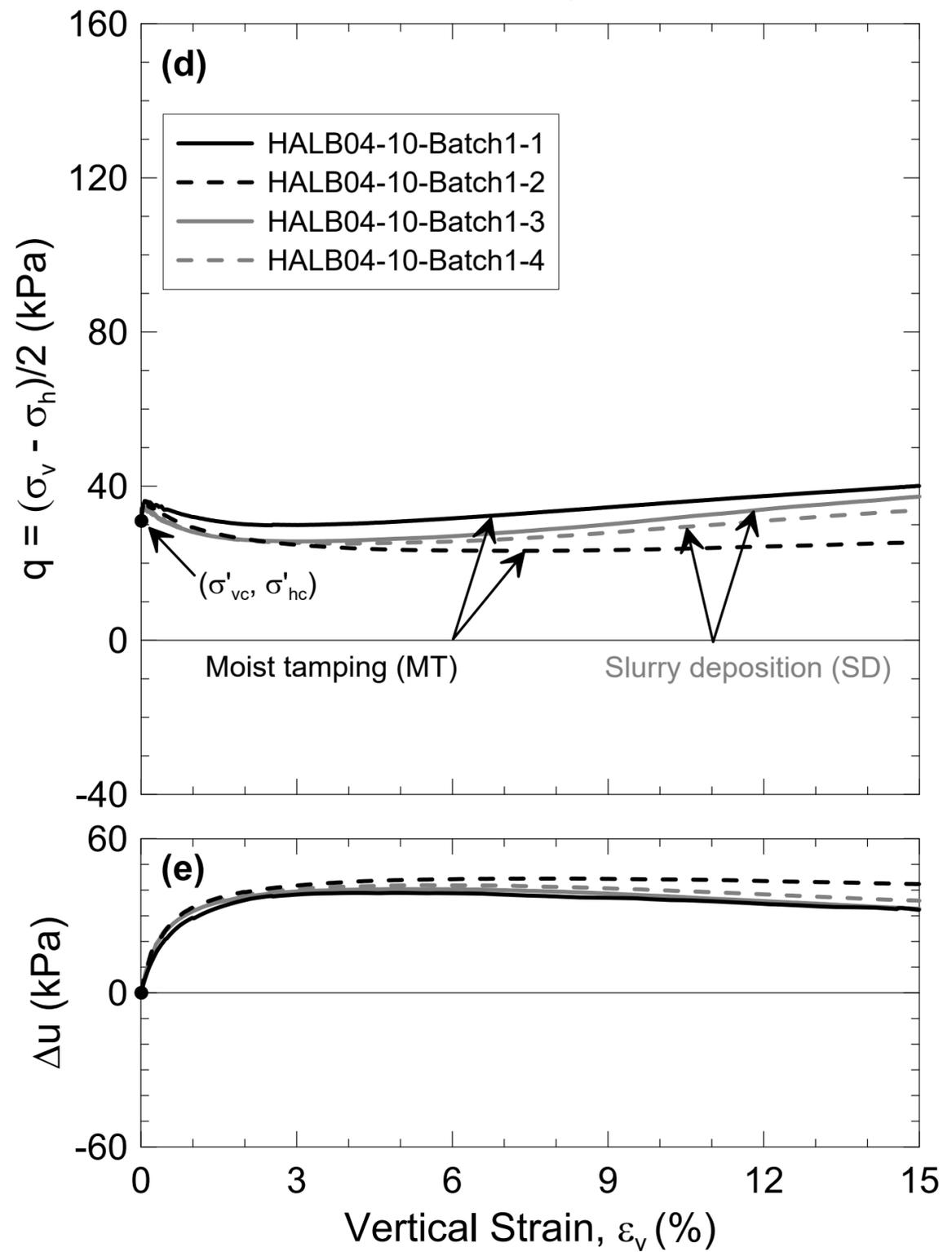
Figure 2

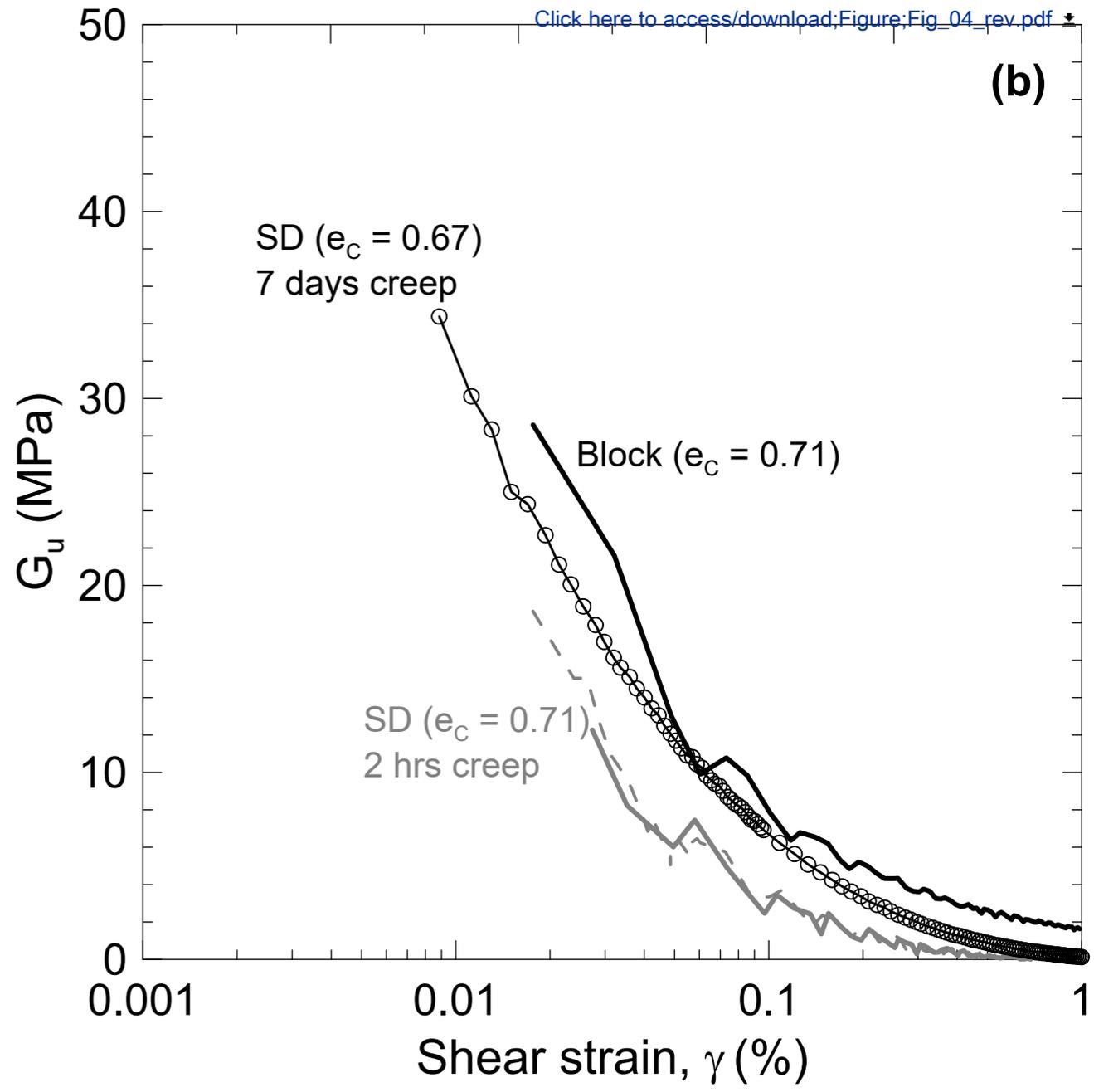
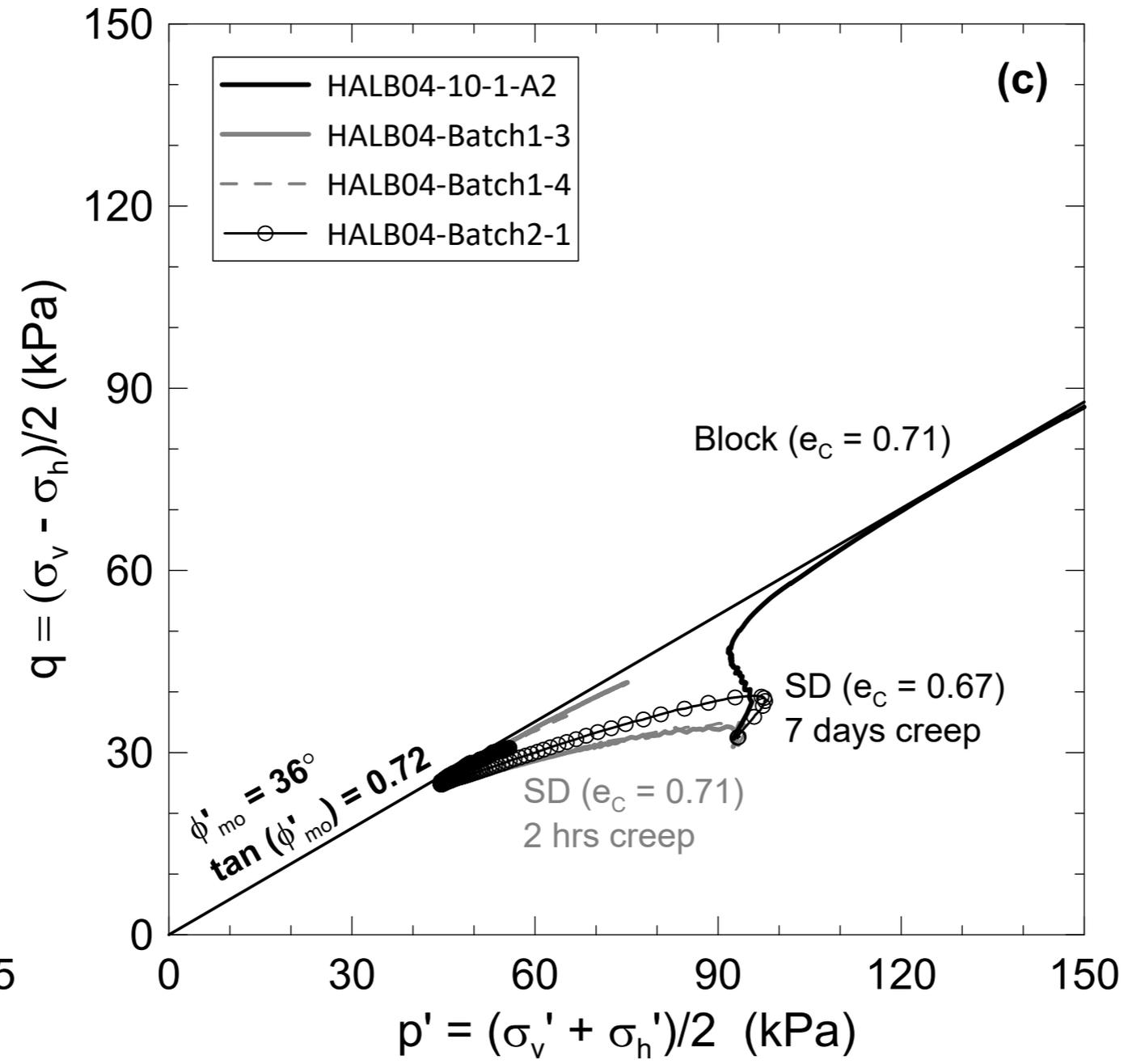
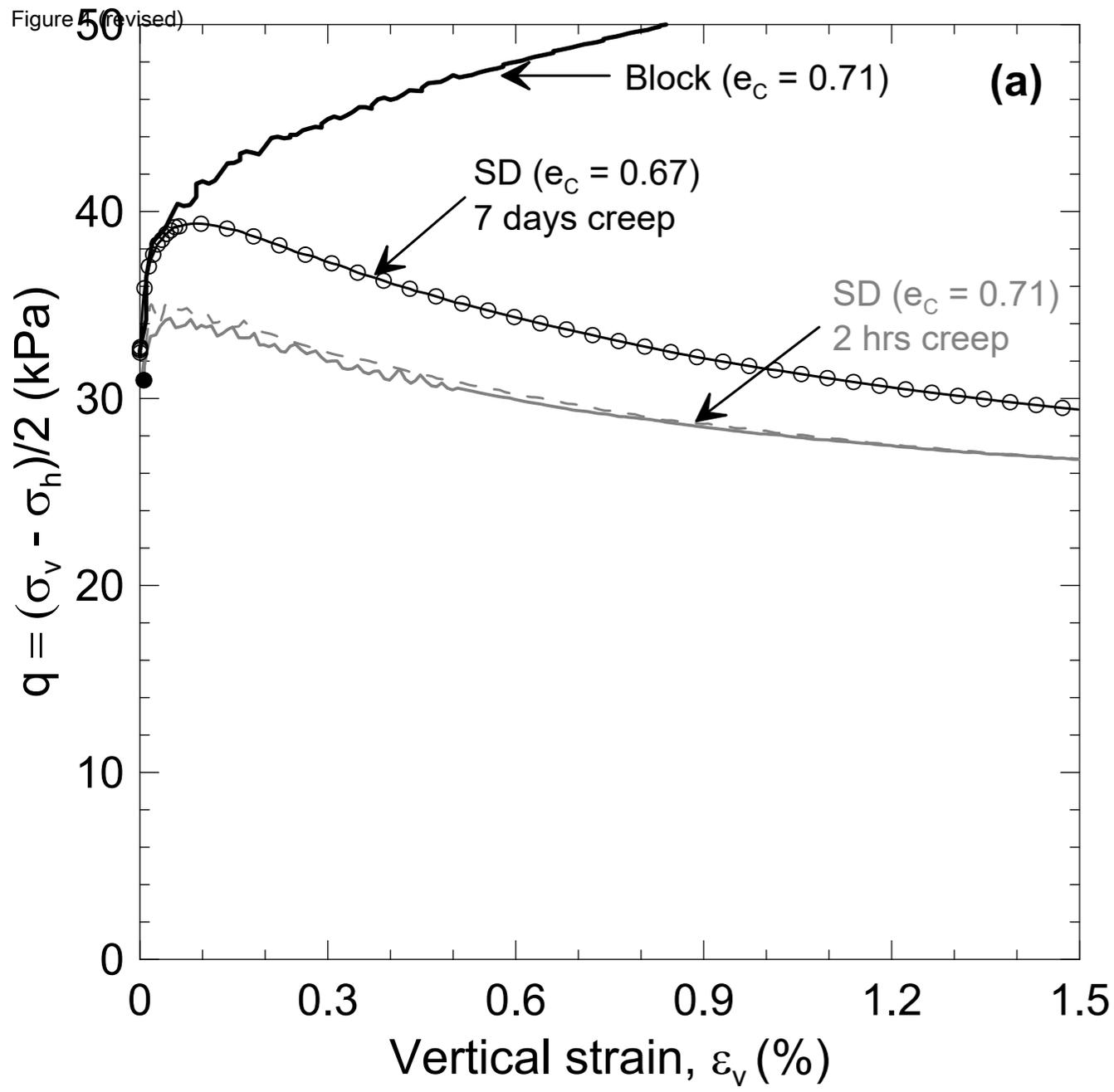


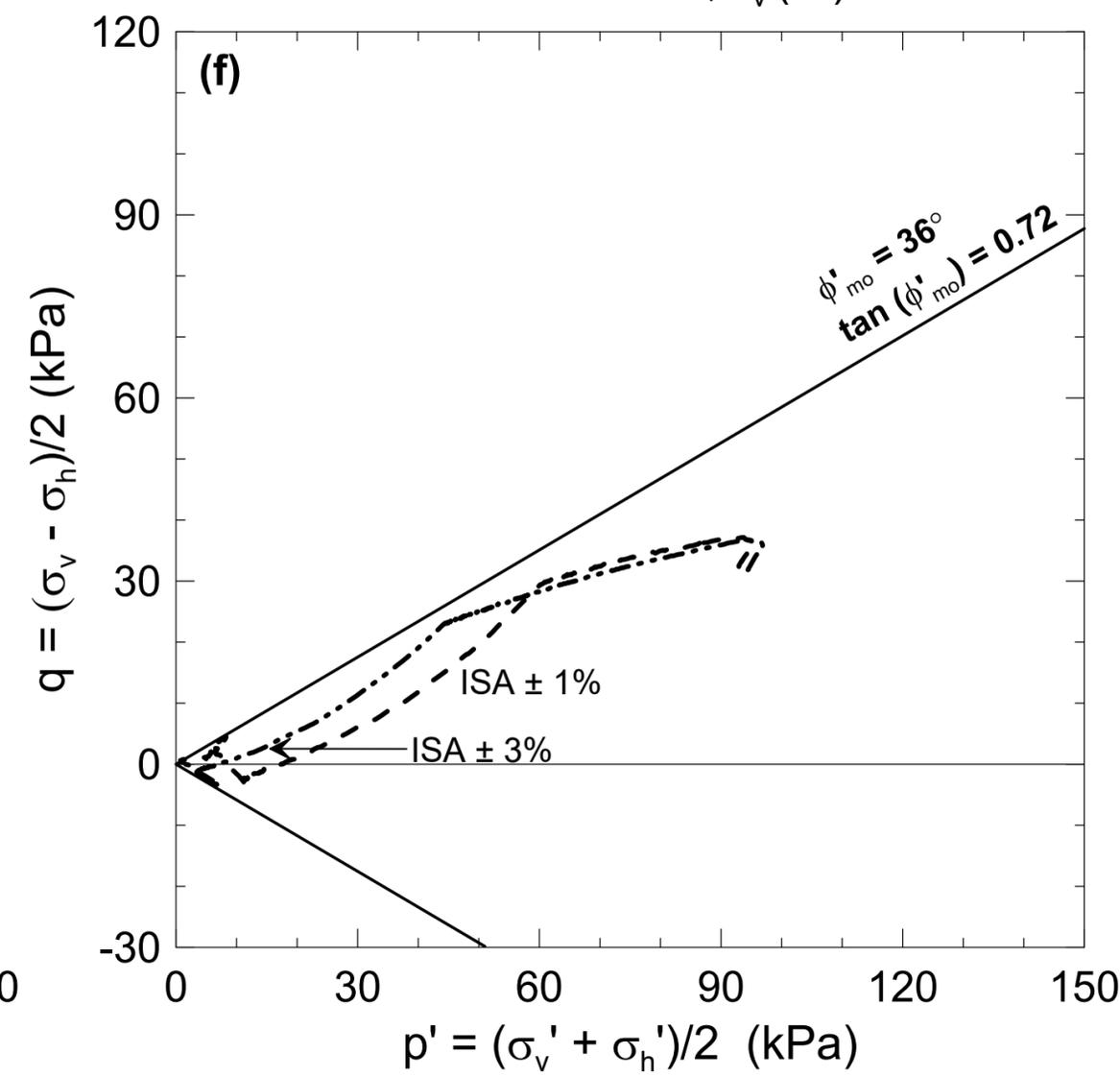
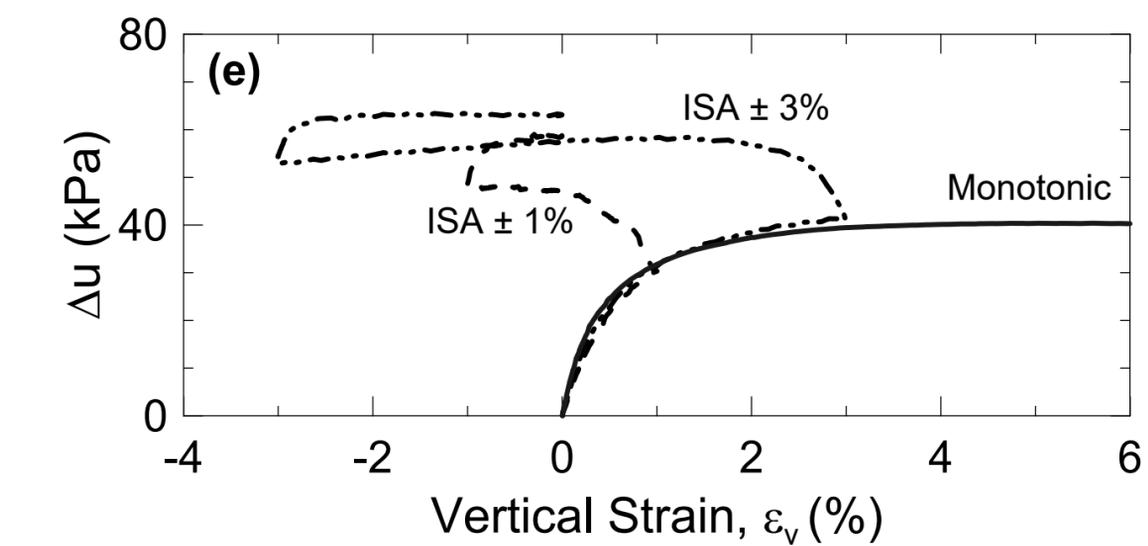
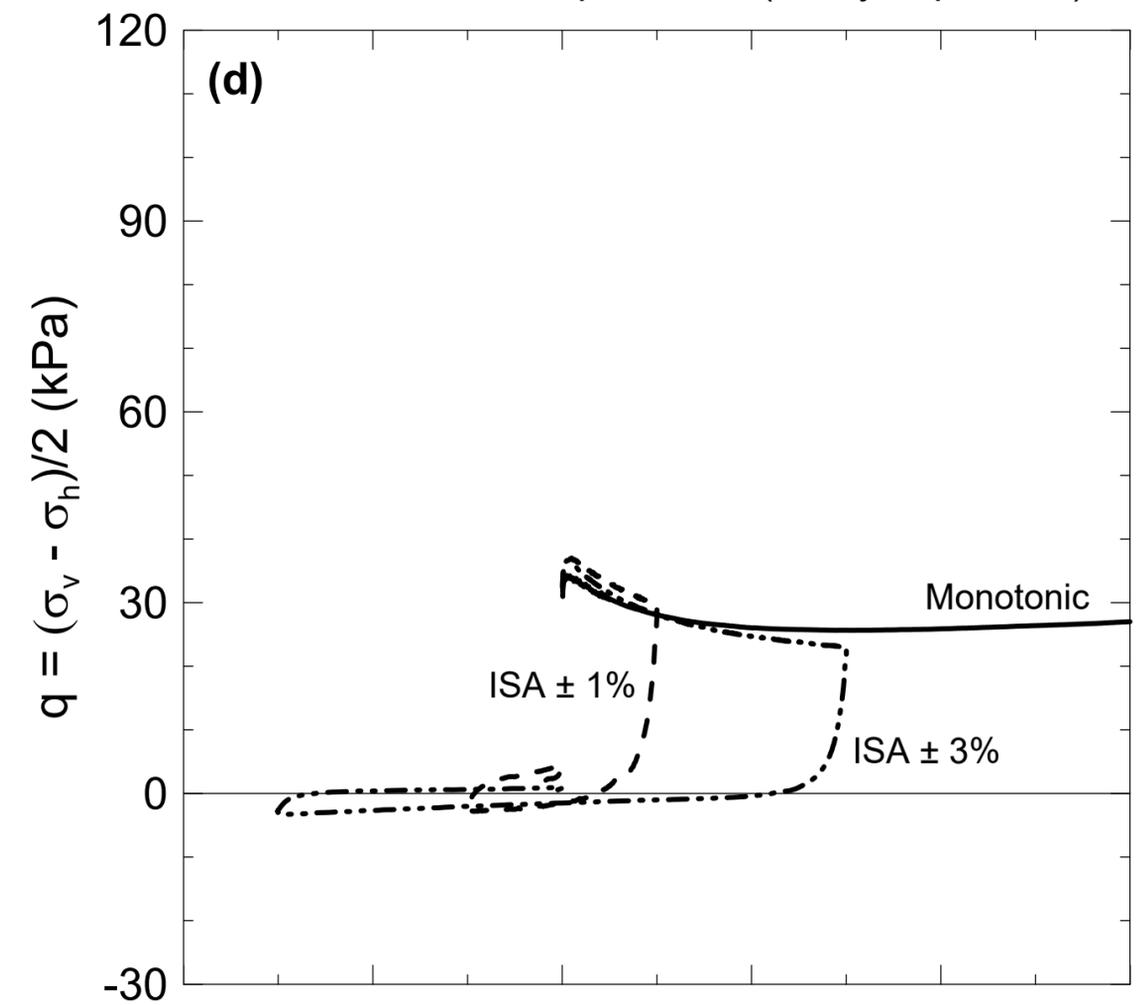
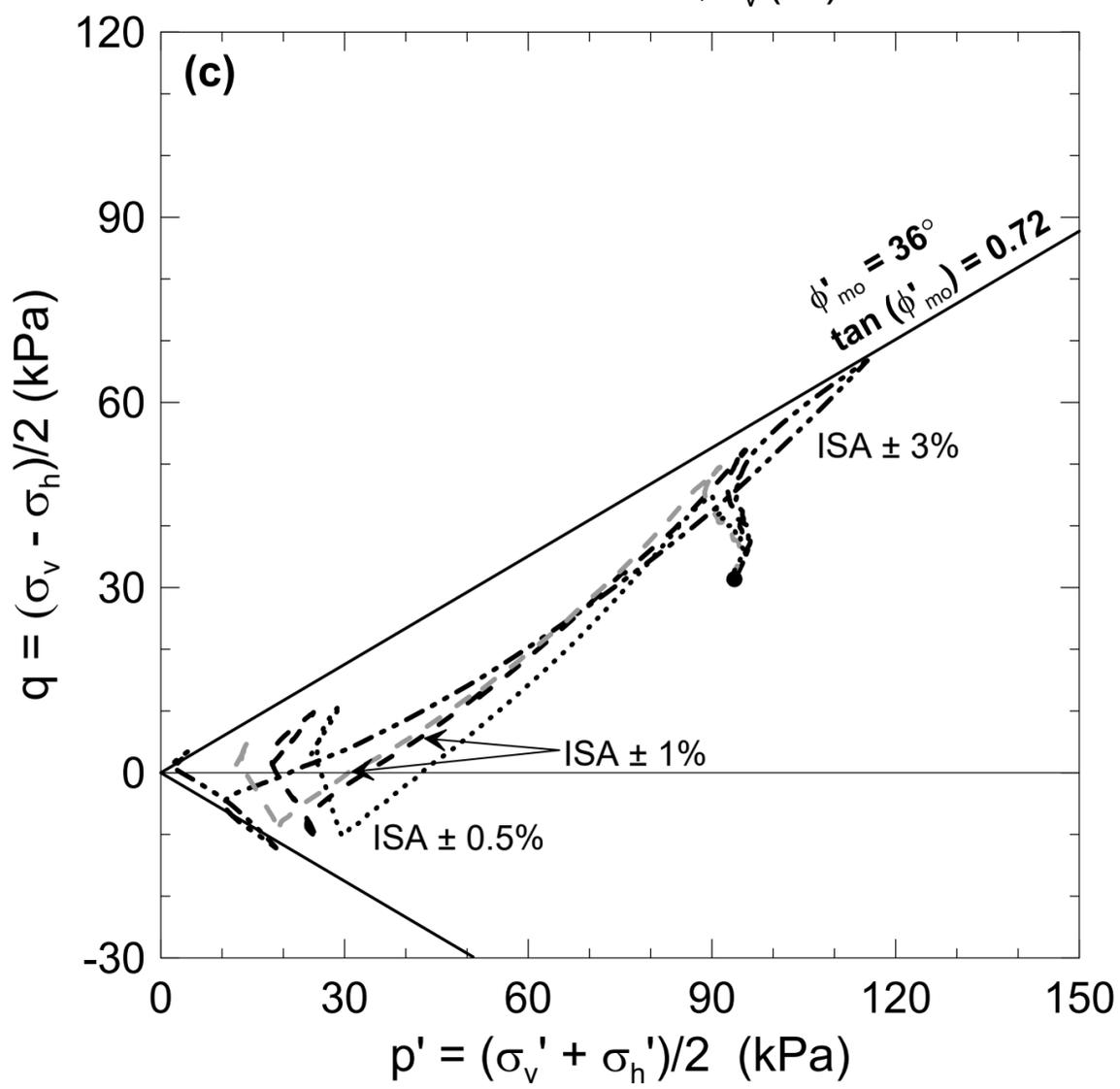
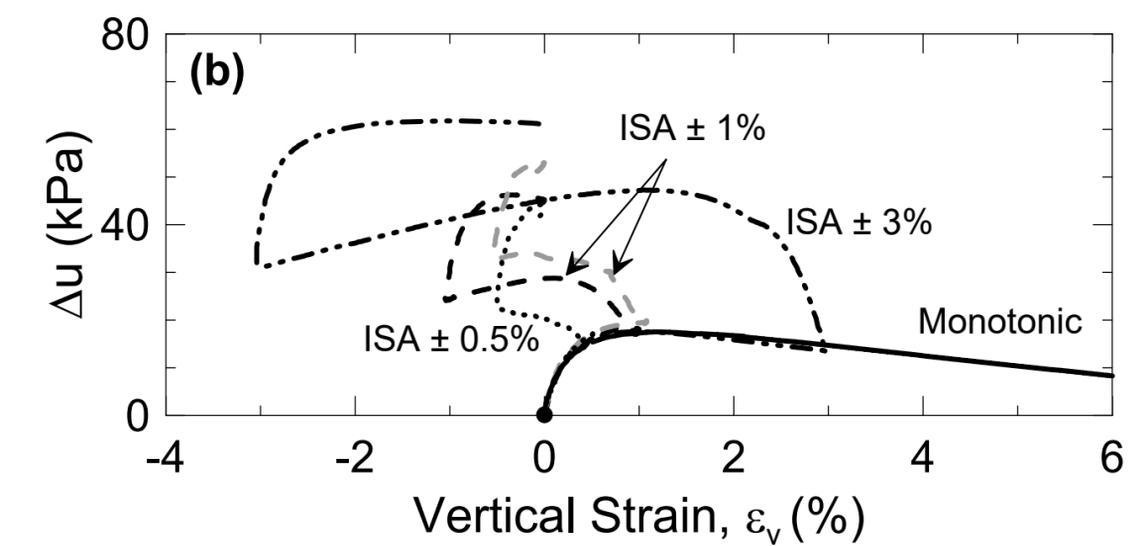
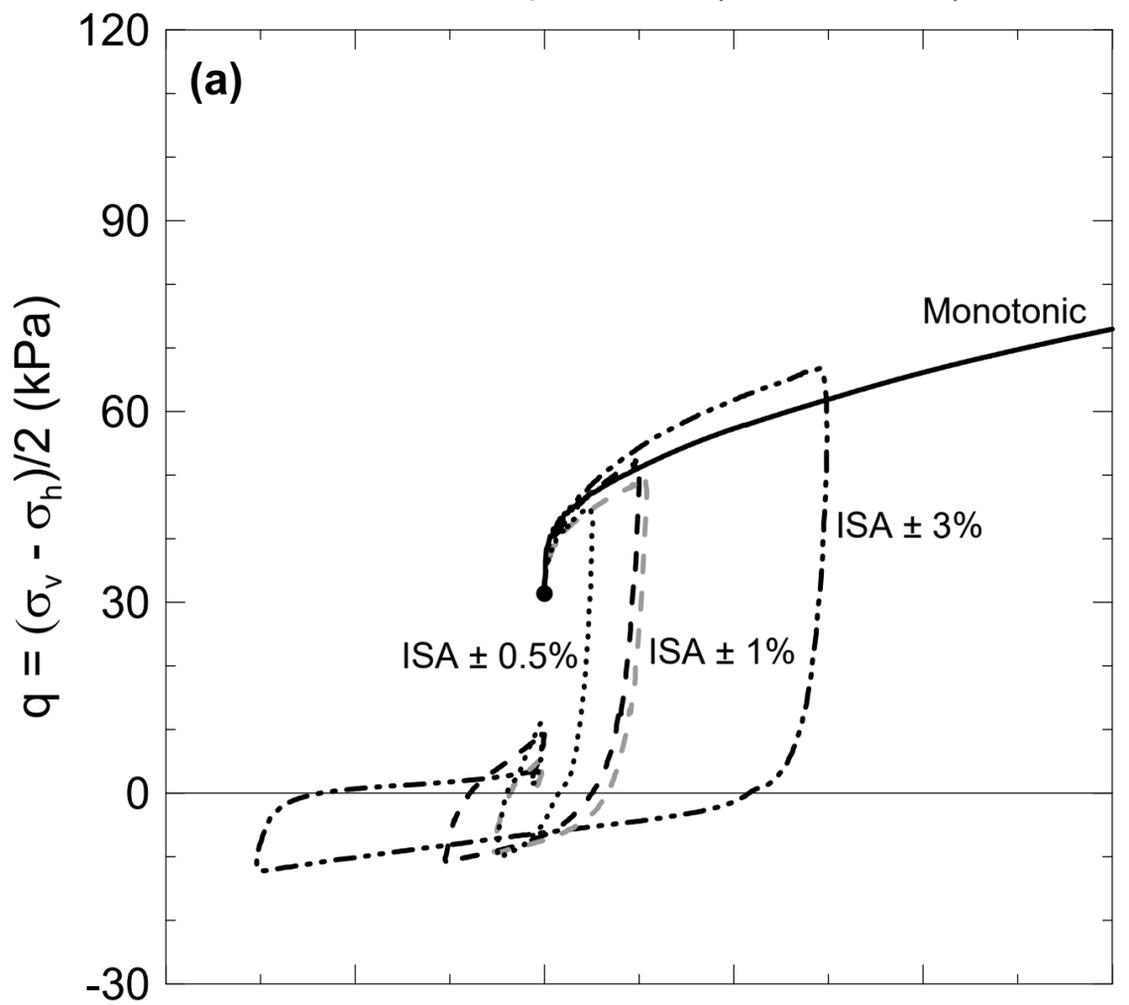
## Intact specimens (Sherbrooke block)

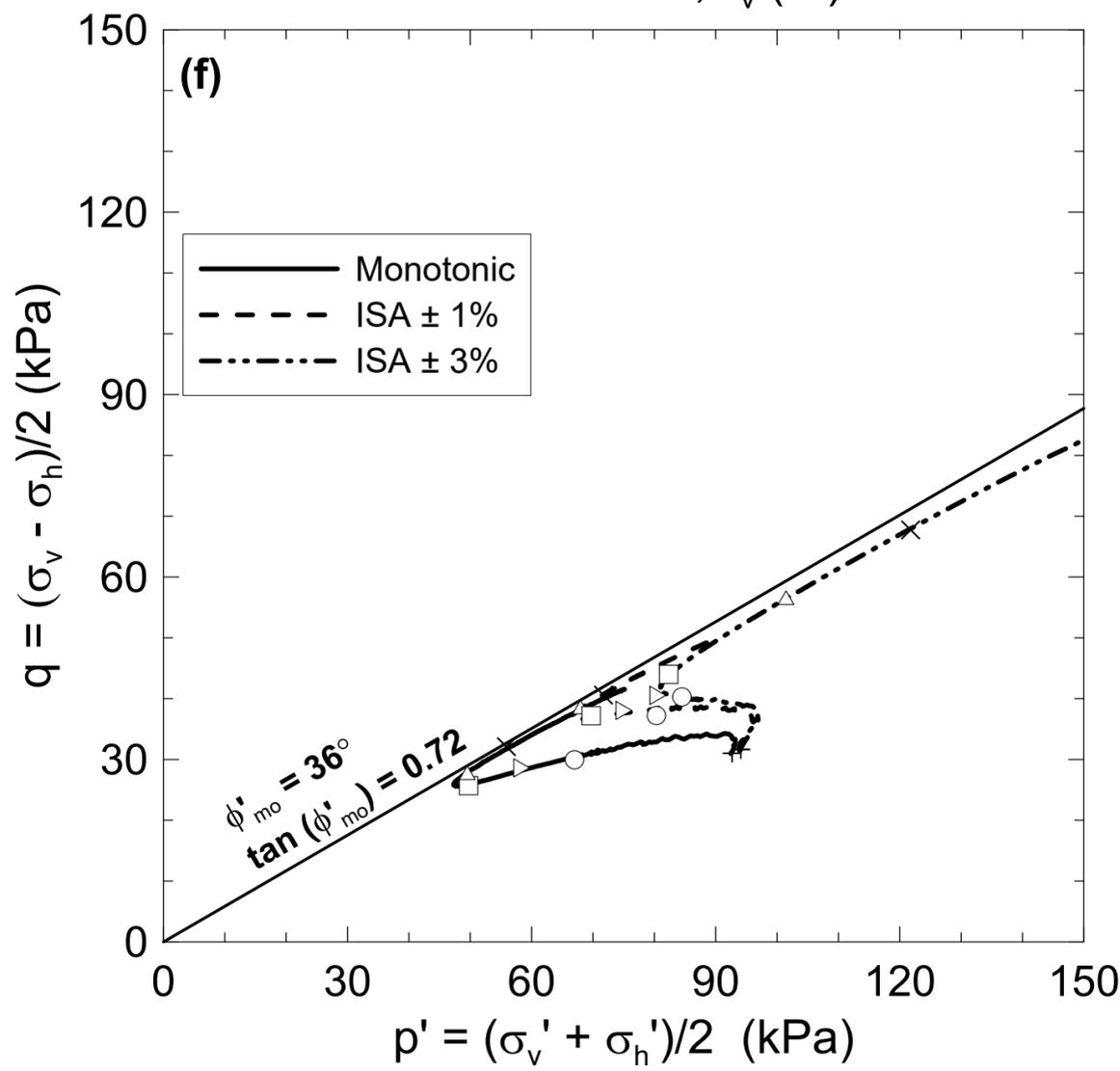
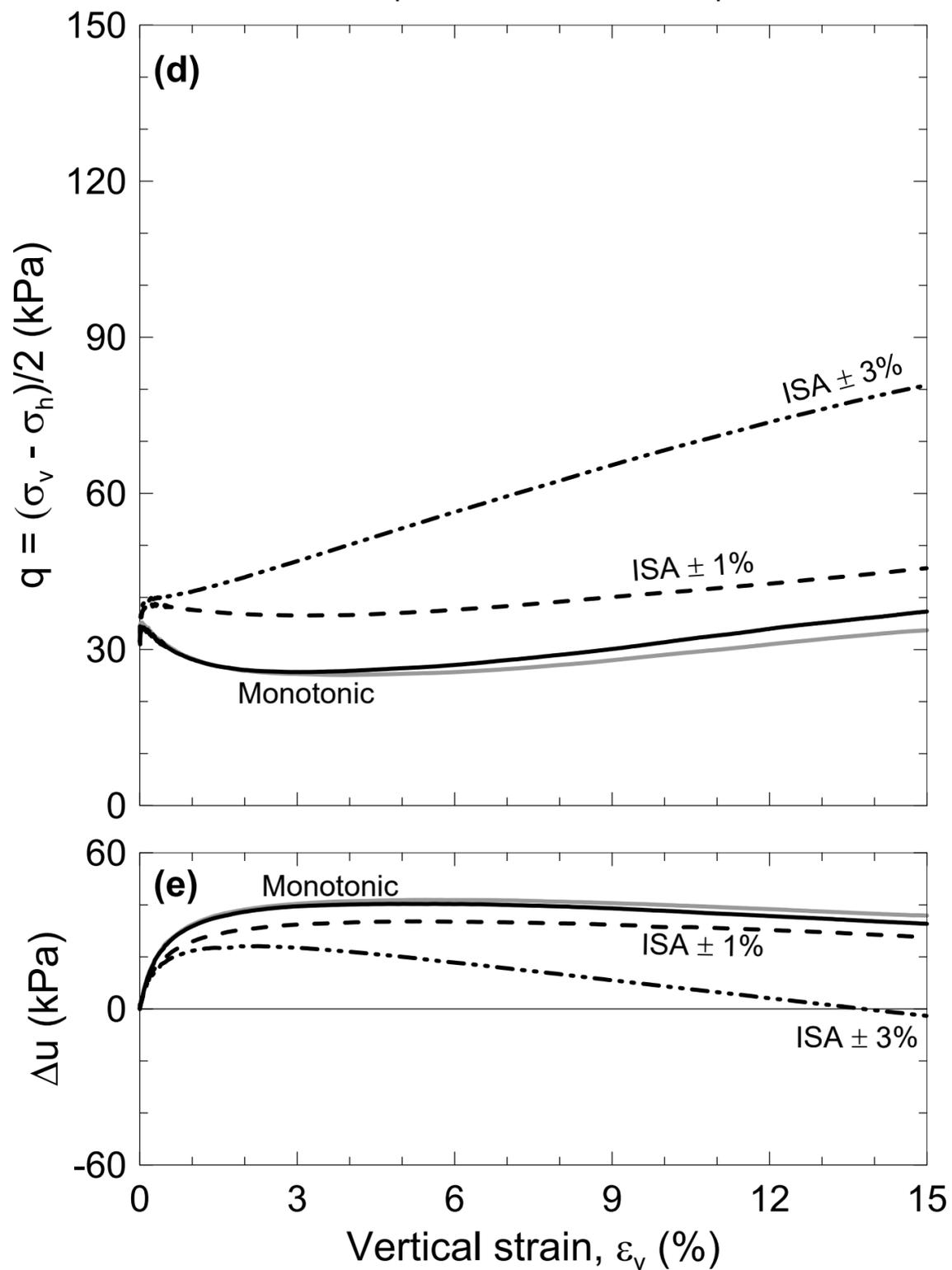
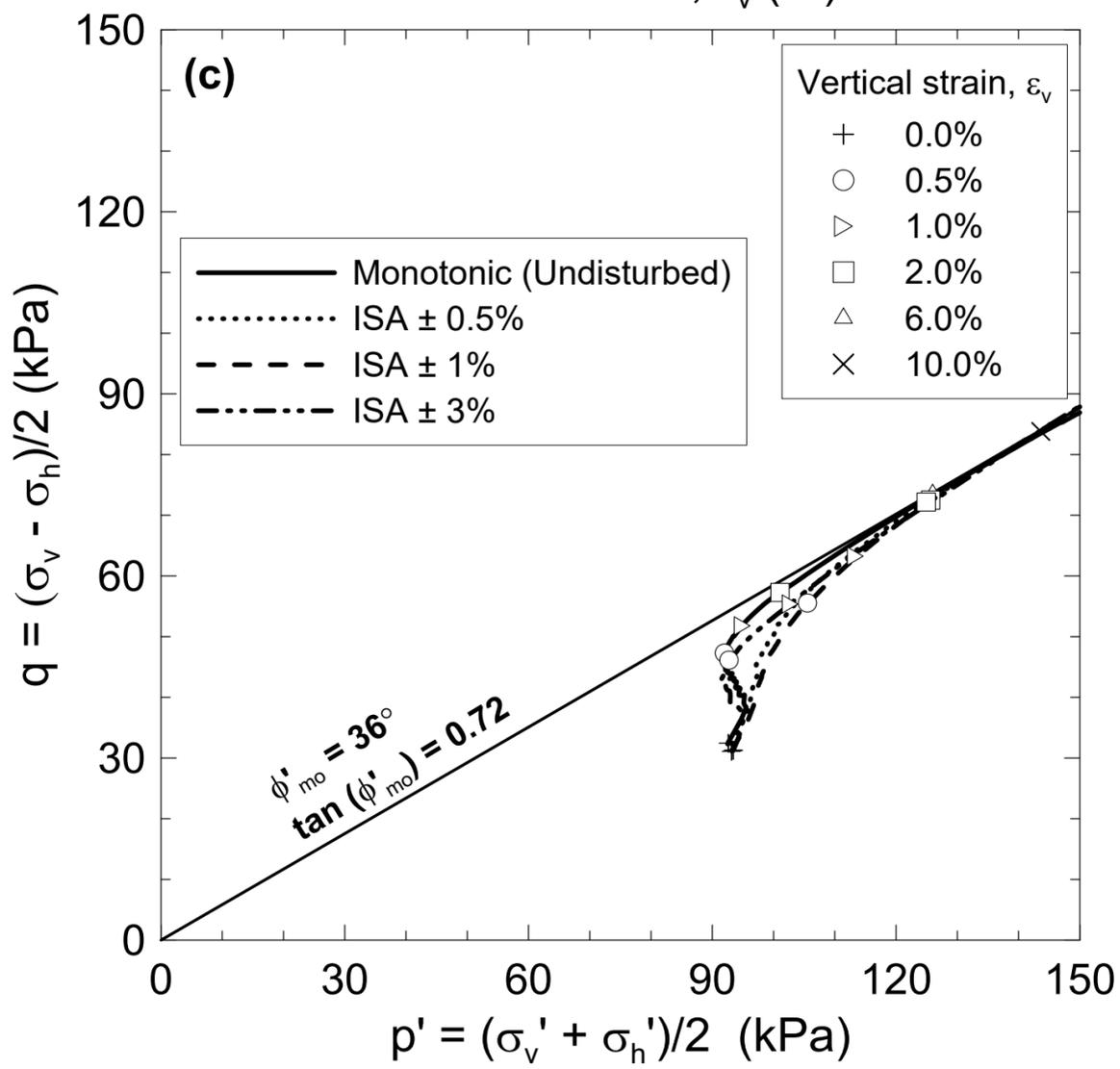
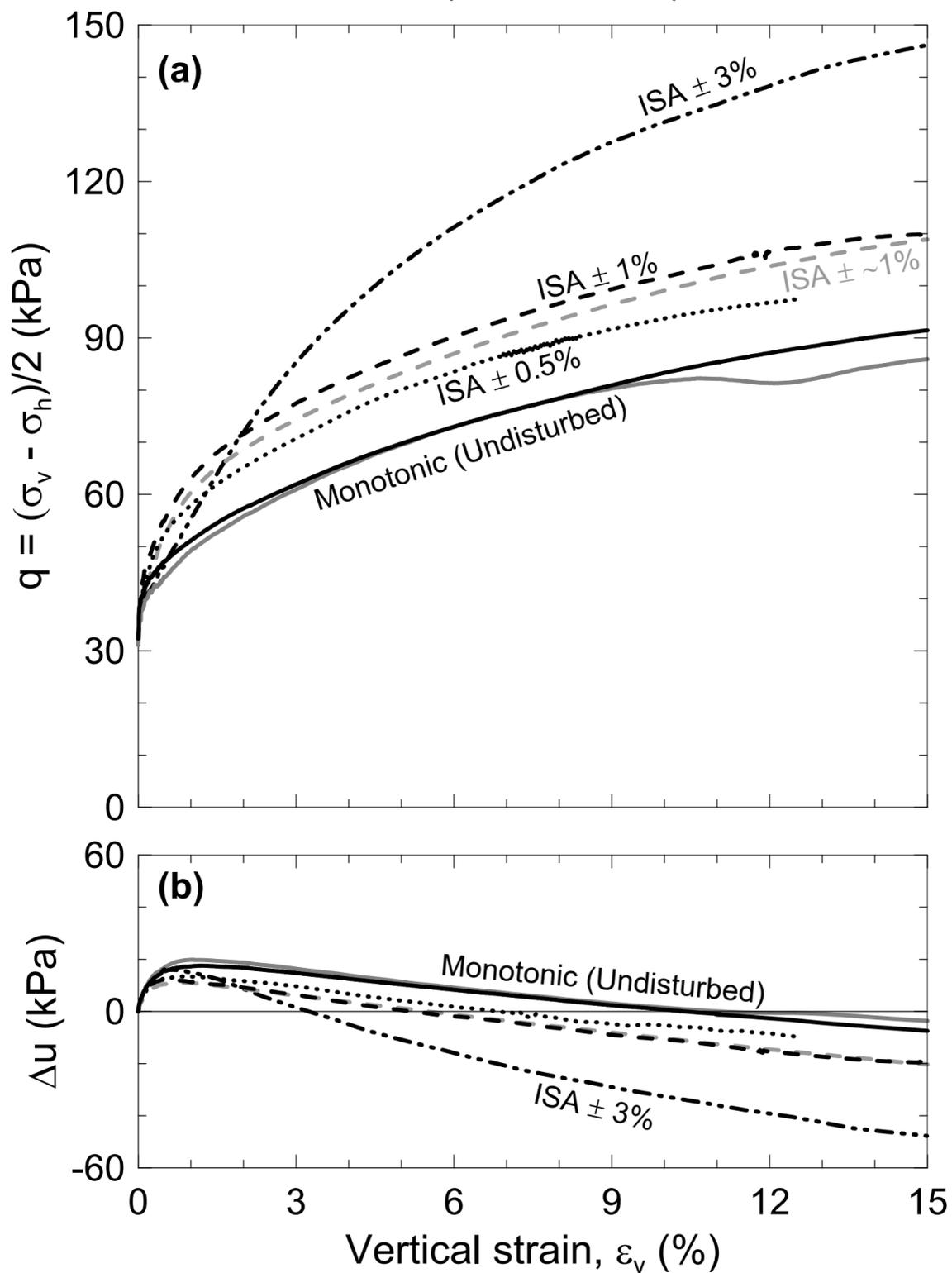


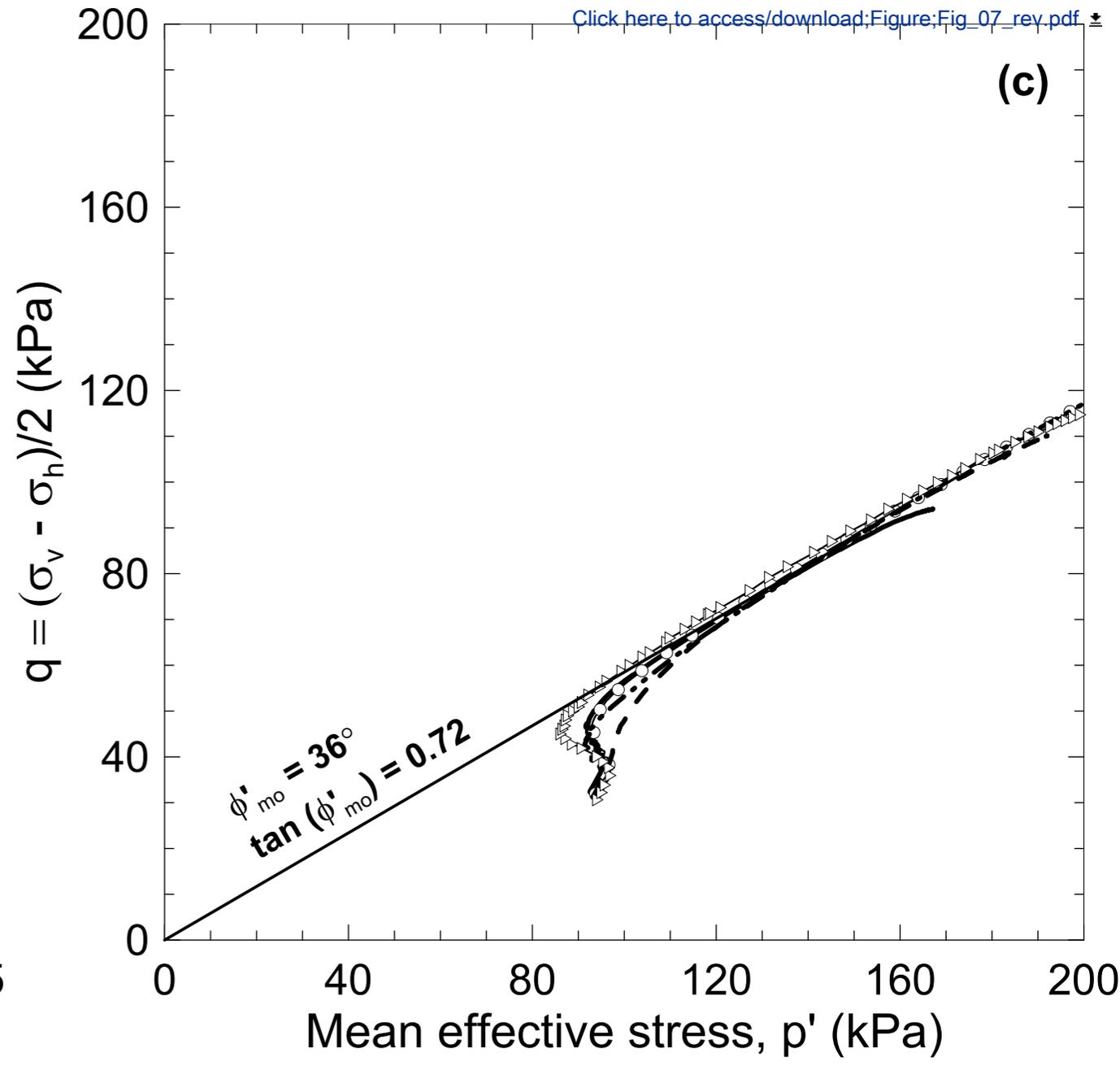
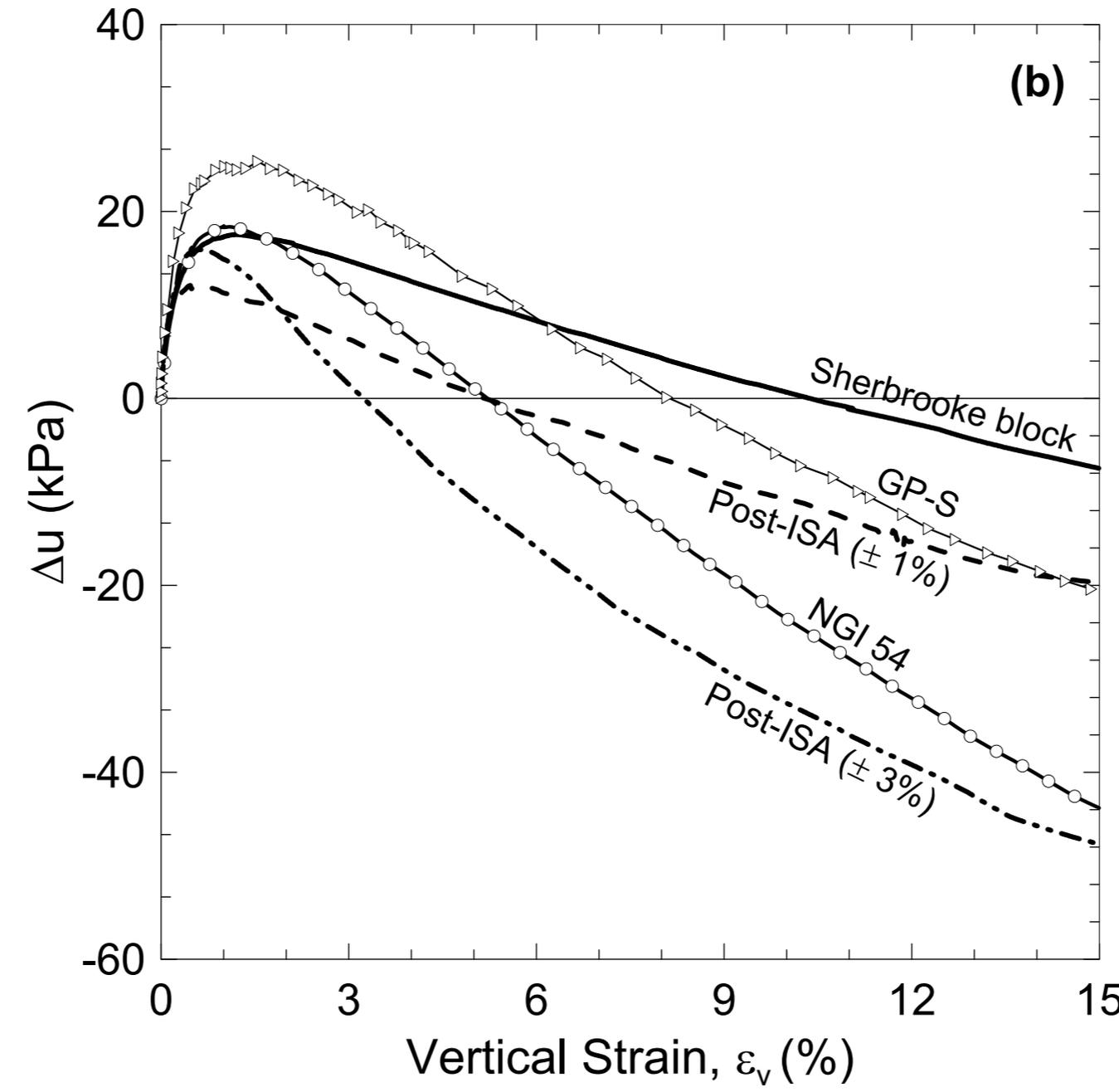
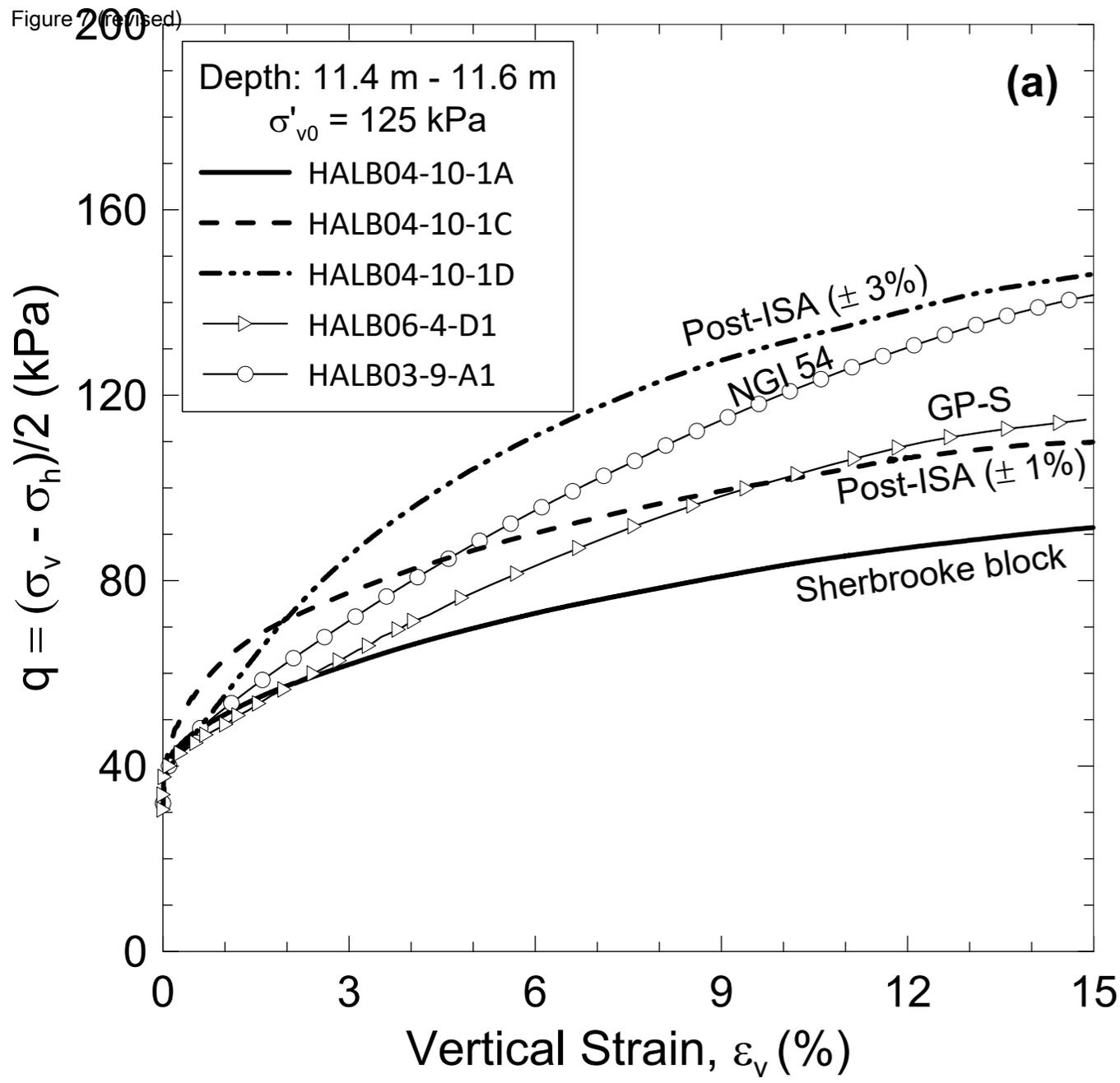
## Reconstituted specimens

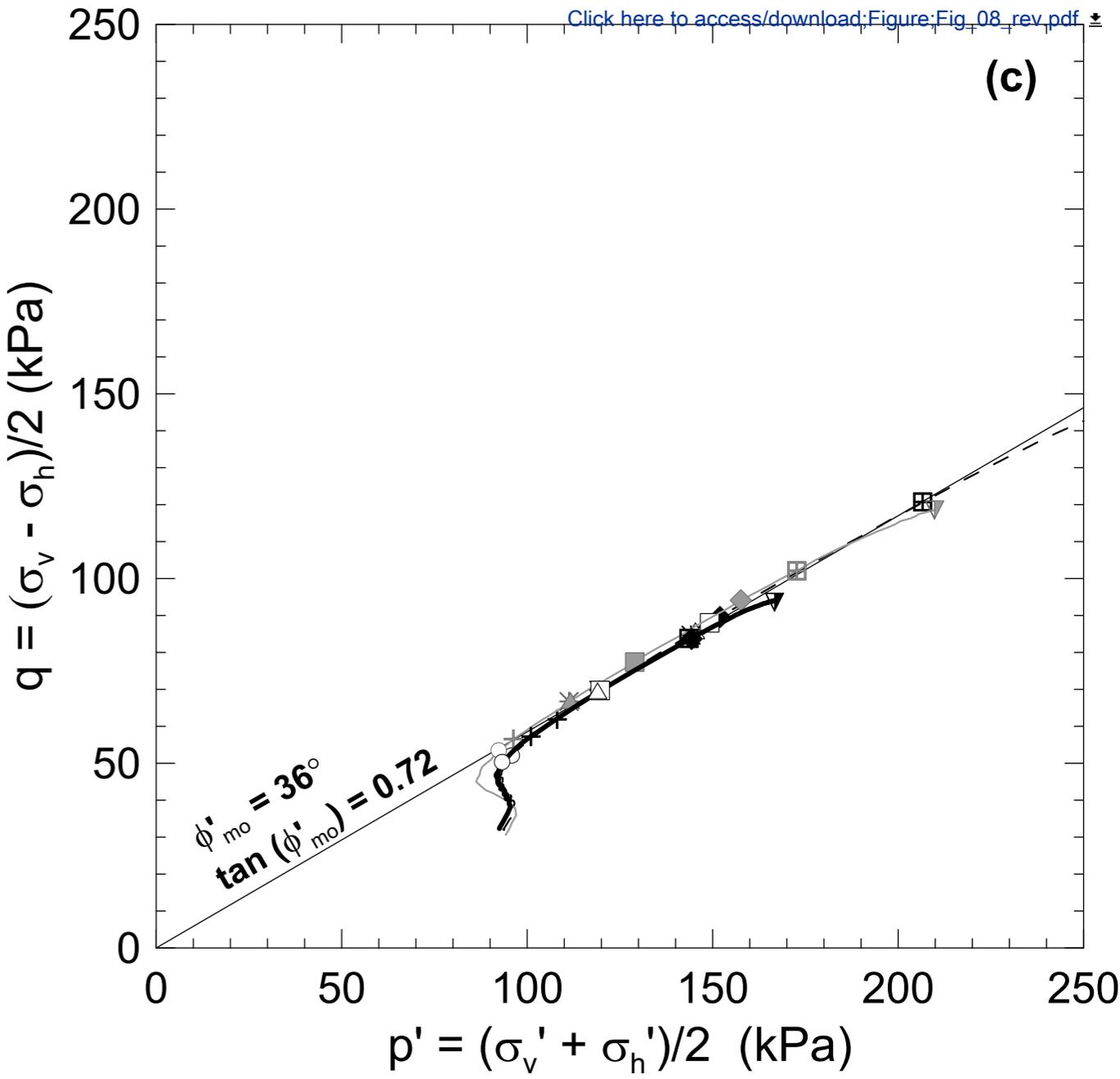
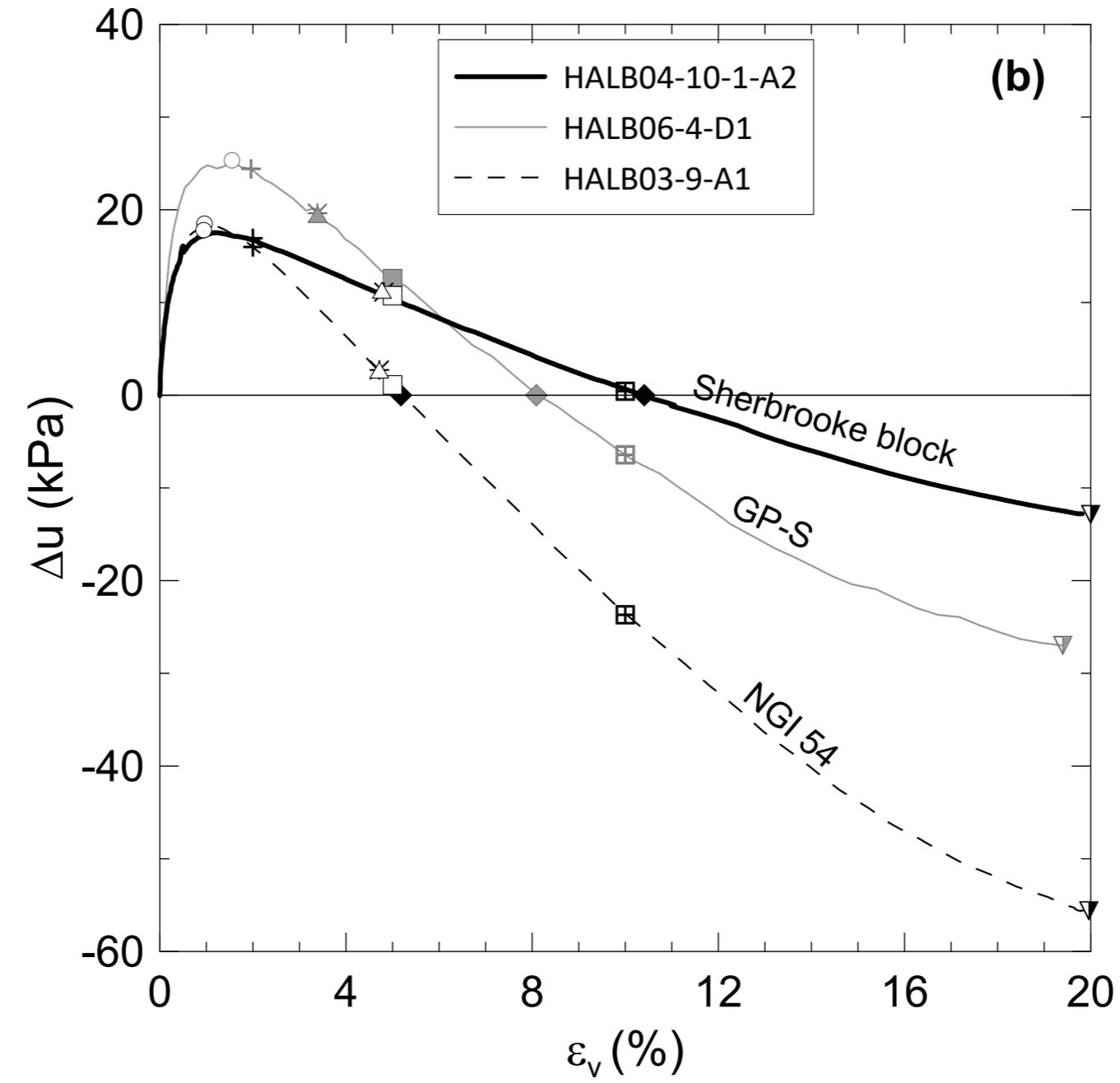
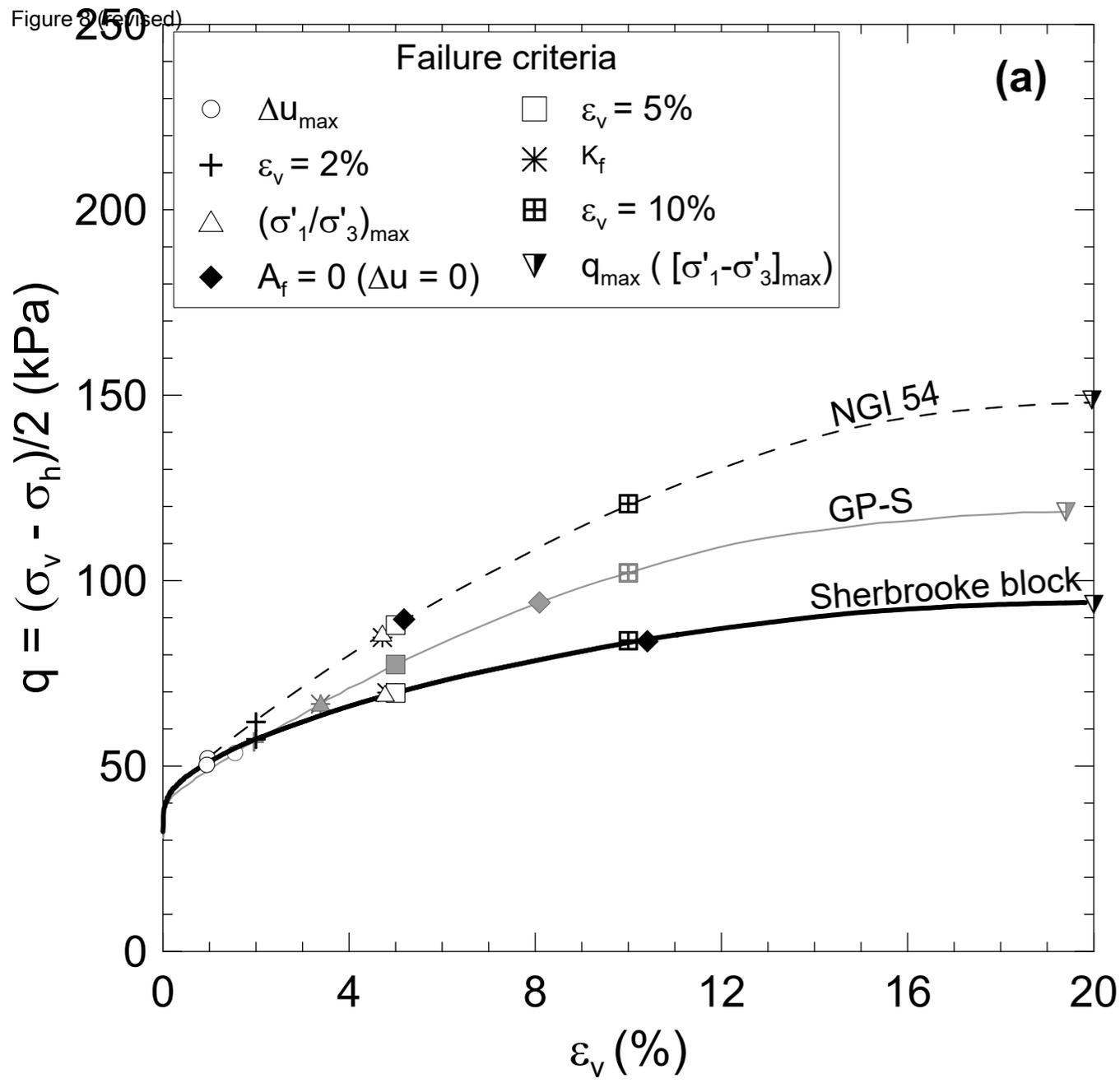


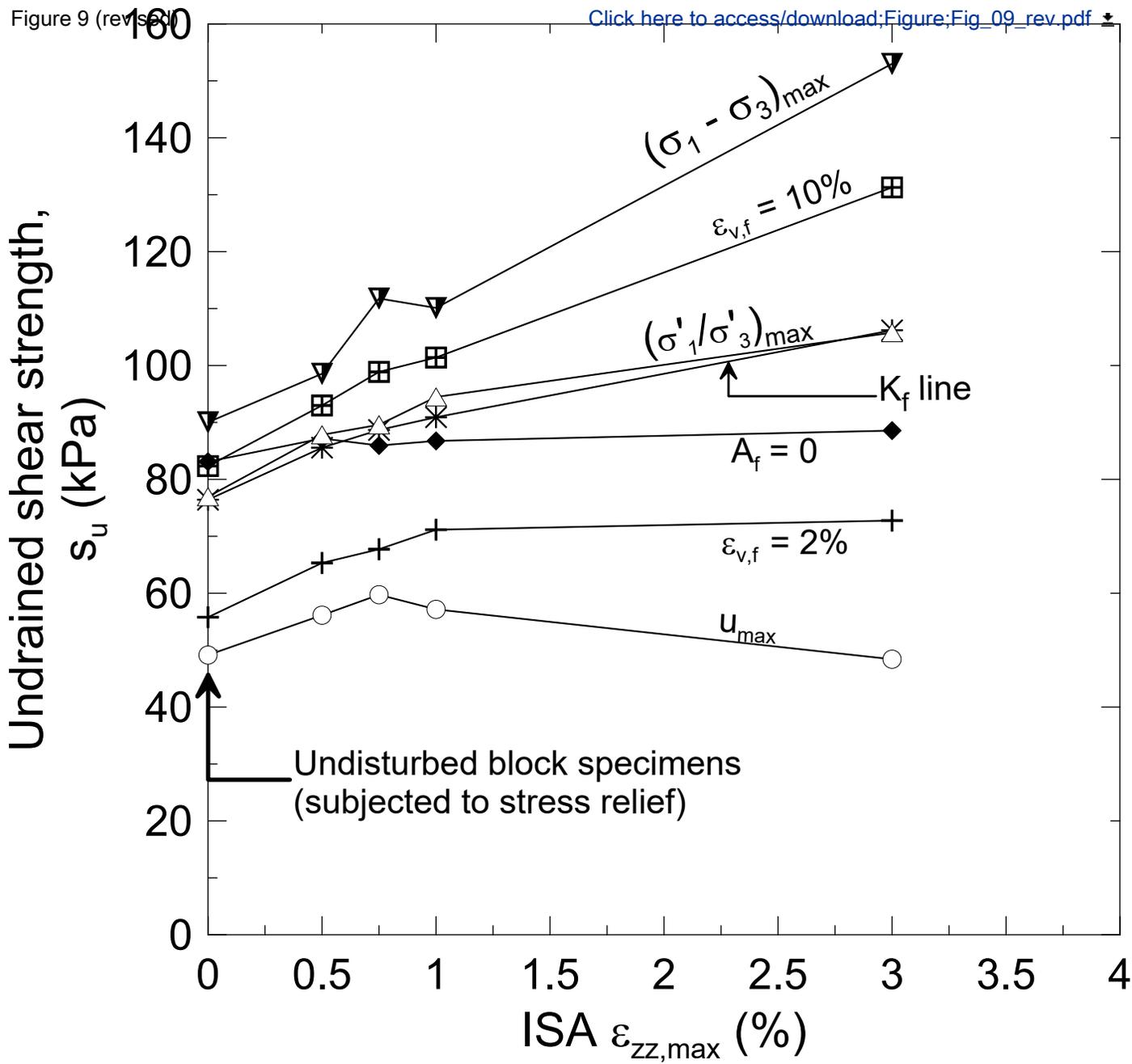












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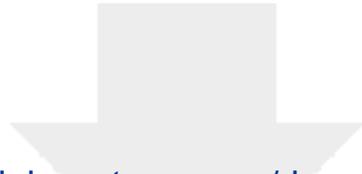
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Intact, disturbed and reconstituted undrained shear behavior of low plasticity natural silt

Øyvind Blaker, M.S.; Don J. DeGroot, Sc.D.

No.	Comment	Authors' response
<b>Editor</b>		
	Thank you for submitting your manuscript to the ASCE. The reviewers are happy that their major concerns have been addressed, however some less significant concerns remain. As you can see from the AE report below, the conclusion is that you should address these concerns and submit a revised version of the manuscript for editorial review. As I know you are aware you should include a short summary outlining how you have addressed these comments.	The authors agree to all comments and have addressed each one in an item-by-item response below. The manuscript has been revised accordingly.  We thank you for a swift and seamless review process.
<b>Associate Editor</b>		
General	The revised manuscript was reviewed by two original reviewers and both reviewers agree that the authors satisfactorily addressed their major concerns in the revised manuscript. However, reviewer 1 identified a few editorial corrections needed before the manuscripts be accepted for publication. I also reviewed the revised manuscript with interest and somehow concur with the reviewers that the revised manuscript addressed most of the previous concerns. As the revision requested by the reviewer 1 are minor and editorial, I don't think it should go to the reviewers again. AE will make sure that those comments are reflected in the revised manuscript. As such, I recommend the authors to revise the manuscript following reviewer 1's suggestion and resubmit for the final round of review.	The authors agree to all comments and have addressed each one in an item-by-item response below. The manuscript has been revised accordingly.
<b>Reviewer #1</b>		
General	The authors are provided with the following minor (editorial) comments to further improve the quality of their paper:	Thank you for your detailed 2nd review and for improving the paper further. We thank you for a swift and seamless review process.  All comments are addressed below.

1	Line 33 should read, "partially drained conditions"	We agree and have made the suggested change.
2	In Lines 81, 4 should be 5	Yes. Typo has been corrected.
3	The sentence in Lines 82 to 87 is unclear. Please re-write in a better way.	We have clarified the sentence by including a three-items list (i) to (iii), as follows: "Silts and intermediate low plasticity soils have traditionally been sampled using: (i) open drive U100 or split spoon samplers (Bray et al. 2004; Long 2007), both of which have a poor geometry with a large area ratio and cutting angle; (ii) thin-walled samplers with a better geometry, including Shelby tubes of various diameters (Brandon et al. 2006; Nocilla et al. 2006) and; (iii) different fixed piston samplers with thin-walled tubes (Høeg et al. 2000; Bray and Sancio 2006; Long et al. 2010; Solhjell et al. 2017)."
4	Please insert "and" before "Blaker" in Line 91	We agree and have made the suggested change.
5	Please change Line 161 to read, "Depending on the design conditions, it is evident ..."	We agree and have made the suggested change.
6	In Line 223, there is a division sign instead of a minus sign. Please correct.	Yes. Typo has been corrected.
7	In Line 227, please delete "performed"	The word "performed" is now deleted.
8	Figures should be cited in numerical order. It appears that Figure 4 is cited before Figure 3.	This was a typo and should read "Figure 2a" rather than "Figure 4a". Typo corrected.
9	In Line 306, "to" after "due"	Yes. Missing word "to" now included.
10	In Line 327, microinterlocking is misspelled.	"Macrointerlocking" was misspelled and has now been corrected.
11	In Line 330, please delete "there is"	We agree and have made the suggested change.



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